

South Pacific Regional Fisheries Management Organisation Performance Review Questionnaire

Section 1: Conservation and Management

1.1 Ecosystem approach

- i. Do SPRFMO decisions fully incorporate the ecosystem approach to fisheries management in accordance with Article 3(2) of the SPRFMO Convention?

| | | | |
|-----|---|----|--------|
| Yes | x | No | Partly |
|-----|---|----|--------|

Please explain further:

- ii. Are there gaps or changes which would improve implementation of the ecosystem approach?

| | | | |
|-----|----|---|--------|
| Yes | No | x | Partly |
|-----|----|---|--------|

Please explain further:

1.2 Data collection

- i. How effective are the SPRFMO data collection formats, specifications and timeframes?

| | | | | | |
|-----------------|-----------|------|---|------------|------------|
| Formats: | Excellent | Good | x | Acceptable | Inadequate |
| Specifications: | Excellent | Good | x | Acceptable | Inadequate |
| Timeframes | Excellent | Good | x | Acceptable | Inadequate |

Please explain further:

- ii. How accurate, timely and complete is the collection of data by Members and CNCPs individually or through SPRFMO?

| | | | | |
|----------|------|---|------------|--------|
| Members: | Very | x | Not at all | Partly |
| CNCPs: | Very | | Not at all | Partly |
| SPRFMO: | Very | x | Not at all | Partly |

Please explain further:

iii. Are there any gaps in data collection necessary for effective stock assessment?

| | | |
|-----|------|------|
| Yes | No x | Some |
|-----|------|------|

Please explain further:

iv. Are there any gaps in data collection necessary for ensuring best scientific advice is available?

| | | |
|-----|------|------|
| Yes | No x | Some |
|-----|------|------|

Please explain further:

v. How effective are SPRFMO's efforts in addressing any gaps in data collection?

| | | |
|--------|------------|--------|
| Very x | Not at all | Partly |
|--------|------------|--------|

Please explain further:

vi. Are there any gaps in CMM 16-2018 (Observer Programme) which need to be filled to fully reflect the requirements of Article 28 (1) of the SPRFMO Convention?

| | | |
|-----|------|------|
| Yes | No x | Some |
|-----|------|------|

Please explain further:

1.3 *Quality and provision of scientific advice*

i. How effective are SPRFMO's efforts to receive and act on best scientific advice relevant to the fishery resources it covers?

| | | |
|--------|------------|--------|
| Very x | Not at all | Partly |
|--------|------------|--------|

Please explain further:

- ii. How effective are SPRFMO's efforts to receive and act on best scientific advice relevant to the effects of harvesting, research, conservation and associated activities on the marine ecosystem?

| | | | |
|------|-------------------------------------|------------|--------|
| Very | <input checked="" type="checkbox"/> | Not at all | Partly |
|------|-------------------------------------|------------|--------|

Please explain further:

1.4 Adoption of conservation and management measures

- i. Has SPRFMO has adopted an effective range of Conservation and Management Measures (CMMs) for fishery resource management that ensure the long-term conservation and sustainable use of those resources and are based on the best scientific evidence available?

| | | | |
|-----|-------------------------------------|----|--------|
| Yes | <input checked="" type="checkbox"/> | No | Partly |
|-----|-------------------------------------|----|--------|

Please explain further, noting any gaps or suggested improvements:

- ii. Has SPRFMO sufficiently applied a precautionary approach in line with the requirements of Article 3 (2) of the SPRFMO Convention and the Code of Conduct for Responsible Fisheries?

| | | | |
|-----|-------------------------------------|----|--------|
| Yes | <input checked="" type="checkbox"/> | No | Partly |
|-----|-------------------------------------|----|--------|

Please explain further, noting any gaps or suggested improvements:

- iii. Has SPRFMO sufficiently applied precautionary reference points as called for in Article 20 (2) of the Convention?

| | | | |
|-----|-------------------------------------|----|--------|
| Yes | <input checked="" type="checkbox"/> | No | Partly |
|-----|-------------------------------------|----|--------|

Please explain further:

- iv. Has SPRFMO sufficiently incorporated the criteria established under Article 21 (1) of the SPRFMO Convention, in the adoption of measures relating to the allocation of the total allowable catch or total allowable fishing effort?

| | | |
|---|-----------------------------|---------------------------------|
| Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> | Partly <input type="checkbox"/> |
|---|-----------------------------|---------------------------------|

Please explain further, noting any gaps or suggested improvements:

- v. How effective are the SPRFMO CMMs adopted to cover previously unregulated fisheries, including new and exploratory fisheries?

| | | |
|--|-------------------------------------|---------------------------------|
| Very <input checked="" type="checkbox"/> | Not at all <input type="checkbox"/> | Partly <input type="checkbox"/> |
|--|-------------------------------------|---------------------------------|

Please explain further, noting any gaps or suggested improvements:

- vi. Has SPRFMO adopted CMMs, which specifically address the conservation of marine biological diversity?

| | | |
|---|-----------------------------|---------------------------------|
| Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> | Partly <input type="checkbox"/> |
|---|-----------------------------|---------------------------------|

If Yes, or Partly: are these effective?

Please explain further, noting any gaps or suggested improvements:

- vii. Has SPRFMO adopted CMMs, which specifically aim to minimise adverse impacts of harvesting, research, conservation and associated activities on fishery resources and its marine ecosystems?

| | | |
|---|-----------------------------|---------------------------------|
| Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> | Partly <input type="checkbox"/> |
|---|-----------------------------|---------------------------------|

If Yes, or Partly: are these effective?

Please explain further, noting any gaps or suggested improvements:

- viii. Has SPRFMO adopted CMMs, which specifically aim to minimise pollution, waste, discards, catch by lost or abandoned gear, catch of non-target fishery resources, and impacts on associated or dependent species?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

If Yes, or Partly: are these effective? Yes

Please explain further, noting any gaps or suggested improvements:

1.5 Capacity management

- i. Are SPRFMO fishing capacity levels appropriate to support long-term conservation and sustainable use of its fisheries resources?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

Please explain further:

- ii. How effective have been actions taken by SPRFMO to prevent or eliminate excess fishing capacity and effort?

| | | |
|--------|------------|--------|
| Very x | Not at all | Partly |
|--------|------------|--------|

Please explain further:

- iii. How effective are efforts made by SPRFMO to monitor levels of fishing effort?

| | | |
|--------|------------|--------|
| Very x | Not at all | Partly |
|--------|------------|--------|

Please explain further:

Section 2: Compliance and Enforcement

2.1 Flag State duties

- i. Do Members and CNCPs have a clear understanding of their flag state duties?

| | |
|-------|----|
| Yes X | No |
|-------|----|

Please explain further: [...] have been member of NEAFC and NAFO for many years, and [...] have many transport vessels from CNCPs operating in [...] waters, and they have a clear understanding of the NEAFC and NAFO schemes.

- ii. Have there been any situations where a Member or CNCP has not fulfilled its flag state duties?

| | |
|-----|------|
| Yes | No X |
|-----|------|

If yes, please explain further:

- iii. If a Member or CNCP has not fulfilled its flag state duties, what do you think the reason for this is? (e.g., lack of capacity, lack of knowledge of its duty, lack of political will, etc.)

Please explain: We have had situations where vessels have asked about the rules before they start operations in [...] waters. There has always been good cooperation between the Flag State and Port State.

- iv. Are there ways that Members and CNCPs could better fulfil their flag state duties?

| | |
|-------|----|
| Yes X | No |
|-------|----|

If Yes, please explain further: As member in NEAFC we can see that some CNCPs have outsourced their FMC to office in e.g. London, and the Flag State have a limited knowledge to the duties.

2.2 *Port State measures*

- i. Have Members implemented the SPRFMO port state measure fully?

| | |
|-------|----|
| Yes X | No |
|-------|----|

If No, please explain further why they have not been fully implemented (e.g., lack of capacity, lack of knowledge of its duty, lack of political will, measure not clear, too complicated or too onerous, etc.):

ii. Is the port state measure adopted by SPRFMO effective?

| | |
|-------|----|
| Yes X | No |
|-------|----|

If No, please explain further why not:

iii. Are there additional port state measures that are needed?

| | |
|-----|------|
| Yes | No N |
|-----|------|

If Yes, please explain further:

2.3 *Monitoring, control and surveillance*

i. Are SPRFMO's MCS measures well-tailored to enable monitoring of and ensure compliance with SPRFMO's conservation and management measures?

| | |
|-------|----|
| Yes X | No |
|-------|----|

Please explain further:

ii. Are there additional MCS measures that are needed to enable monitoring of and ensure compliance with SPRFMO's conservation and management measures?

| | |
|-----|------|
| Yes | No X |
|-----|------|

Please explain further:

iii. Are there improvements that should be made to the existing MCS measures?

| | |
|-------|------|
| Yes X | No X |
|-------|------|

Please explain further: Things could always be better, SPRFMO is a huge area, the most of the fish are landed outside CPs of SPRFMO so the Control is limited, and the possibility for Surveillance is also limited

iv. Are the SPRFMO MCS measures effective and integrated?

| | |
|-------|----|
| Yes X | No |
|-------|----|

Please explain further:

v. Have Members and CNCPs implemented the SPRFMO MCS measures fully?

| | |
|-----|----|
| Yes | No |
|-----|----|

If No, please explain further why they have not been fully implemented (e.g., lack of capacity, lack of understanding, lack of political will, measure not clear, too complicated or too onerous, etc.): NA

2.4 *Follow-up on infringements*

i. Do Members and CNCPs follow up on alleged infringements of conservation and management measures?

| | |
|-------|----|
| Yes X | No |
|-------|----|

Please explain further: [...] have had no infringements regarding CNCPs

ii. If Members and CNCPs do not follow up on alleged infringements, please explain what is the reason or reasons (e.g., lack of capacity, lack of understanding, lack of political will, etc.):

iii. Is there something that could be done to improve efforts to follow up on alleged infringements? Please explain: If SPRFMO have an effective Compliance report, and follow up infringements procedure, and all CPs write the result of the follow up.

2.5 *Cooperative mechanisms to detect and deter non-compliance*

- i. Has SPRFMO established cooperative mechanisms to monitor compliance, detect and deter non-compliance and remedy compliance issues?

| | |
|-------|----|
| Yes X | No |
|-------|----|

If Yes, please describe those cooperative mechanisms: The IUU list, and to adopt others RFMO IUU list

If No, please described what cooperative mechanisms are needed:

- ii. If there are cooperative mechanisms, are they effective in monitoring compliance, detecting and deterring non-compliance and remedying compliance issues?

| | |
|-------|----|
| Yes X | No |
|-------|----|

If No, please explain:

- iii. Are the cooperative mechanisms being used effectively?

| | |
|-------|----|
| Yes X | No |
|-------|----|

If No, please explain what could be done to improve their effective use and what you think the reason is for their lack of effectiveness:

- iv. Are there additional cooperative mechanisms needed?

| | |
|-----|------|
| Yes | No X |
|-----|------|

If Yes, please explain:

2.6 *Market-related measures*

i. Are there market-related measures that SPRFMO should adopt?

| | |
|-------|----|
| Yes X | No |
|-------|----|

If Yes, please describe the measures: In [...] all Logbook, Catch messages (COE, CAT and COX) are linked together with weight note and sales note, it could also be done in SPRFMO

ii. Please explain why SPRFMO has not adopted market-related measures (e.g., not necessary, lack of capacity, lack of political will, measure not clear, too complicated or too onerous, etc.):

Section 3: Decision-making and Dispute Settlement

3.1 Decision-making

i. How do you assess SPRFMO decision-making process and practices?

| | | | | | |
|------|-----|---|------|---|-----------|
| Good | | | Poor | | |
| 1 | 2 x | 3 | 4 | 5 | No answer |

Please explain further:

ii. Are the processes and practices inclusive and transparent?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

Please explain further:

iii. Could they be improved?

| | | |
|-----|----|--------|
| Yes | No | Partly |
|-----|----|--------|

Please explain further:

- iv. Would SPRFMO benefit from the greater use of informal mechanisms of cooperation in its decision-making?

| | | |
|-----|----|--------|
| Yes | No | Partly |
|-----|----|--------|

If Yes, what form might such an informal mechanism take? Please explain further:

3.2 *Dispute Resolution*

- i. Has the dispute resolution process used by SPRFMO been effective in resolving disputes?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

Please explain further:

- ii. Has the SPRFMO dispute resolution process been expeditious?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

Please explain further:

- iii. Do you have any concerns with the SPRFMO dispute resolution process, such as procedures or cost?

| | | |
|-----|------|--------|
| Yes | No x | Partly |
|-----|------|--------|

Please explain further:

If Yes, what suggested improvements could be made?

Section 4: International Cooperation

4.1 *Transparency*

- i. Are relevant intergovernmental organisations and interested environmental organisations and fishing industry organisations able to effectively participate in all SPRFMO meetings?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

Please explain further:

- ii. Does SPRFMO facilitate consultations with non-governmental organisations, representatives of the fishing industry, and other interested bodies on SPRFMO conservation and management measures?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

Please explain further:

- iii. Are all SPRFMO reports, conservation measures and scientific advice and other relevant non-commercial sensitive information made publicly available in a timely manner?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

Please explain further:

- iv. How effective is the SPRFMO website making relevant information publicly available and easily accessible?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

Please explain further: it is effective and timely

4.2 *Relationship with Cooperating Non-Contracting Parties (CNCP)*

- i. Have there been efforts by SPRFMO to encourage CNCPs, either individually or collectively, to become SPRFMO Members?

| | |
|-------|----|
| Yes x | No |
|-------|----|

Please explain further:

ii. Do CNCPs voluntarily implement SPRFMO measures?

| | | |
|-----|----|--------|
| Yes | No | Partly |
|-----|----|--------|

Please explain further:

4.3 Relationship with non-Members or non-CNCPs undermining the objectives of the Convention

i. Does SPRFMO take effective measures to deter the activities of non-Members and non-CNCPs that undermine SPRFMO conservation and management measures?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

Please explain further:

ii. Does SPRFMO encourage non-Members and non-CNCPs to become Members or CNCPs of SPRFMO?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

Please explain further:

4.4 Cooperation with international organisations

i. Does SPRFMO have appropriate cooperation links with other international and regional fisheries management organisations?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

Please explain further:

- ii. How effective is the cooperation with other regional fisheries management organisations which have competency over stocks located in the Convention Area?

| | | |
|--------|------------|--------|
| Very x | Not at all | Partly |
|--------|------------|--------|

If Not at all or Partly, how can this be improved?

- iii. Does SPRFMO cooperate with relevant fisheries organisations specifically on the reduction and elimination of IUU fishing?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

Please explain further:

4.5 *Special requirements of developing States*

- i. Does SPRFMO have appropriate mechanisms for recognising the special needs of developing States?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

Please explain further:

- ii. How appropriate and sufficient is the assistance that is provided to developing States by Members or CNCPs either individually or through SPRFMO?

| | | |
|--------|------------|--------|
| Very x | Not at all | Partly |
|--------|------------|--------|

Please explain further:

Section 5: Financial and Administrative Issues

5.1 *Availability of resources for activities*

- i. Is the level of funding available to the Secretariat sufficient to achieve the aims of SPRFMO and implement its decisions?

| | | |
|-------|----|--------|
| Yes x | No | Partly |
|-------|----|--------|

Please explain further:

- ii. Does the Secretariat have the requisite number of personnel to achieve the aims of SPRFMO and implement its decisions?

| | | |
|---|----|--------|
| Yes <input checked="" type="checkbox"/> | No | Partly |
|---|----|--------|

Please explain further:

- iii. Does the SPRFMO budget process lead to the necessary financial resources being available to the SPRFMO Secretariat? If not, why not?

| | | |
|---|----|--------|
| Yes <input checked="" type="checkbox"/> | No | Partly |
|---|----|--------|

Please explain further:

5.2 *Efficiency and cost-effectiveness*

- i. Does SPRFMO efficiently and effectively manage the resources available to it?

| | | |
|---|----|--------|
| Yes <input checked="" type="checkbox"/> | No | Partly |
|---|----|--------|

Please explain further:

- ii. Does SPRFMO have the right organisational structure and working groups to efficiently undertake its work?

| | |
|---|----|
| Yes <input checked="" type="checkbox"/> | No |
|---|----|

If No, how could this be improved?

- iii. Is the SPRFMO schedule of meetings appropriate?

| | |
|---|----|
| Yes <input checked="" type="checkbox"/> | No |
|---|----|

If No, how could it be improved?

iv. Is the organisation of SPRFMO meetings effective in achieving SPRFMO's objectives?

| | | |
|-----|---|----|
| Yes | x | No |
|-----|---|----|

If No, are there any suggestions you have for improvement?

Overall 'Yes'; however, our scientists had one remark, they wanted me to convey: they think it would be better if the Scientific Committee was manned by scientists only and not managers as well. The reason being that too many political points of view are included in the scientific advice.