

U.S. Department of  
Homeland Security

**United States  
Coast Guard**



Commander  
Pacific Area

1 Coast Guard Island  
Alameda, CA 94501  
Staff Symbol: PAC-3MF  
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16214  
September 16, 2021

Mr. Michael Tosatto  
National Marine Fisheries Service  
Pacific Islands Regional Office  
1845 Wasp Blvd., Bldg. 176  
Honolulu, HI 96818

Dear Mr. Tosatto:

We are writing to provide details of the United States Coast Guard's (USCG) intended boarding and inspection activities in the South Pacific Regional Fishery Management Organisation (SPRFMO) Convention Area in accordance with SPRFMO CMM 11-2015, which incorporates by reference Articles 21 and 22 of the United Nations Fish Stocks Agreement of 1995 (UNFSA). Pursuant to UNFSA Article 21, we provide the following attachments:

- Attachment 1 – Example identification that will be used by USCG authorized inspectors
- Attachment 2 – Example of a USCG inspection vessel with marking descriptions
- Attachment 3 – The flag and pennant that will be used to designate the vessel as a SPRFMO inspection vessel
- Attachment 4 – The boarding form that will be used when documenting SPRFMO HSBI

We note that Article 21, paragraphs 8 through 11, define serious violations and provide procedures for identifying and responding to them. This language will be used when documenting potential violations pursuant to High Seas Boarding and Inspections (HSBI).

In accordance with UNFSA Article 22, when conducting an HSBI, USCG authorized inspectors will:

- (a) Present credentials to the master of the vessel and produce a copy of the text of the relevant conservation and management measures or rules and regulations in force in the high seas area in question pursuant to those measures;
- (b) Initiate notice to the Flag State at the time of the boarding and inspection;
- (c) Not interfere with the master's ability to communicate with the authorities of the Flag State during the boarding and inspection;
- (d) Provide a copy of a report on the boarding and inspection to the master and to the authorities of the Flag State, noting therein any objection or statement which the master wishes to have included in the report;
- (e) Promptly leave the vessel following completion of the inspection if they find no evidence of a serious violation; and
- (f) Avoid the use of force except when and to the degree necessary to ensure the safety of the inspectors and where the inspectors are obstructed in the execution of their duties. The degree of force used shall not exceed that reasonably required in the circumstances.

We note that USCG authorized inspectors shall have the authority to inspect the vessel, as specified in Article 22, paragraph 2 of UNFSA.

We note that USCG authorized inspectors and authorized inspection vessel crews are fully familiar with the fishing activities to be inspected and the provisions of the SPRFMO Convention and conservation and management measures in force.

Pursuant to Article 21, paragraph 4 of UNFSA, we designate the appropriate authority for receiving notifications as follows:

- a. AUTHORITY:  
United States Coast Guard
- b. ADDRESS:  
1 Coast Guard Island  
Alameda, CA 94501
- c. TELEPHONE: (510) 437-3701, (808) 535-3333
- d. FAX: (510) 437-3017
- e. EMAIL: [Kristen.b.caldwell@uscg.mil](mailto:Kristen.b.caldwell@uscg.mil); [jrcchonolulu@uscg.mil](mailto:jrcchonolulu@uscg.mil);  
[rccalameda1@uscg.mil](mailto:rccalameda1@uscg.mil)

Please contact LCDR Kristen Caldwell at (510) 437-5947 or [Kristen.b.caldwell@uscg.mil](mailto:Kristen.b.caldwell@uscg.mil), if you have any questions regarding this submission.

Sincerely,



LCDR KRISTEN B. CALDWELL  
Pacific Area  
Living Marine Resources Program Manager  
U. S. Coast Guard

- Attachments:
- (1) United States Coast Guard Identification Example
  - (2) Authorized Inspection Vessel Examples
  - (3) Flag and Pennant Example
  - (4) Boarding Form



Armed Forces of the  
United States



Coast Guard  
Active Duty

**SAMPLE**

Cassoni,  
Rick



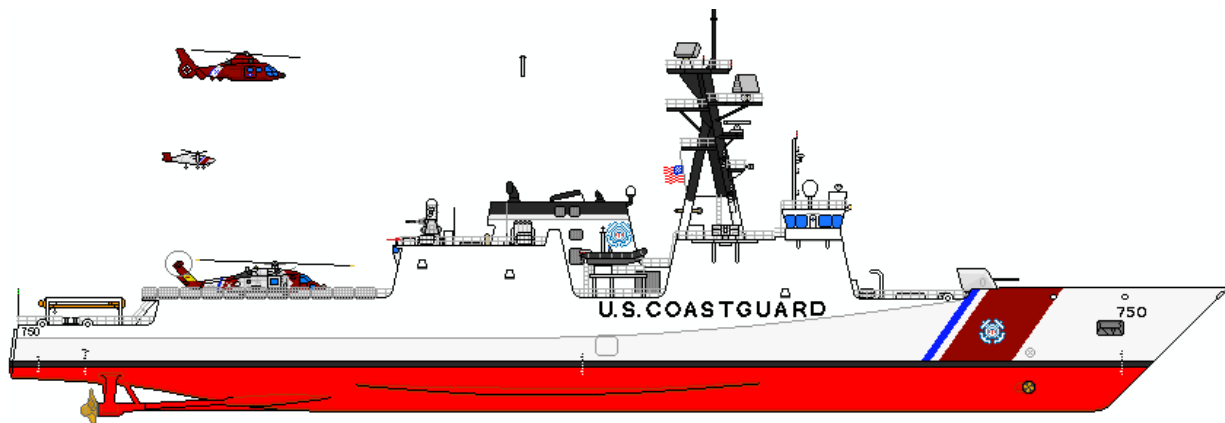
Pay Grade  
**E6**

Rank  
**PO1**



Issue Date  
**2001JAN25**  
Expiration Date  
**2003JAN23**

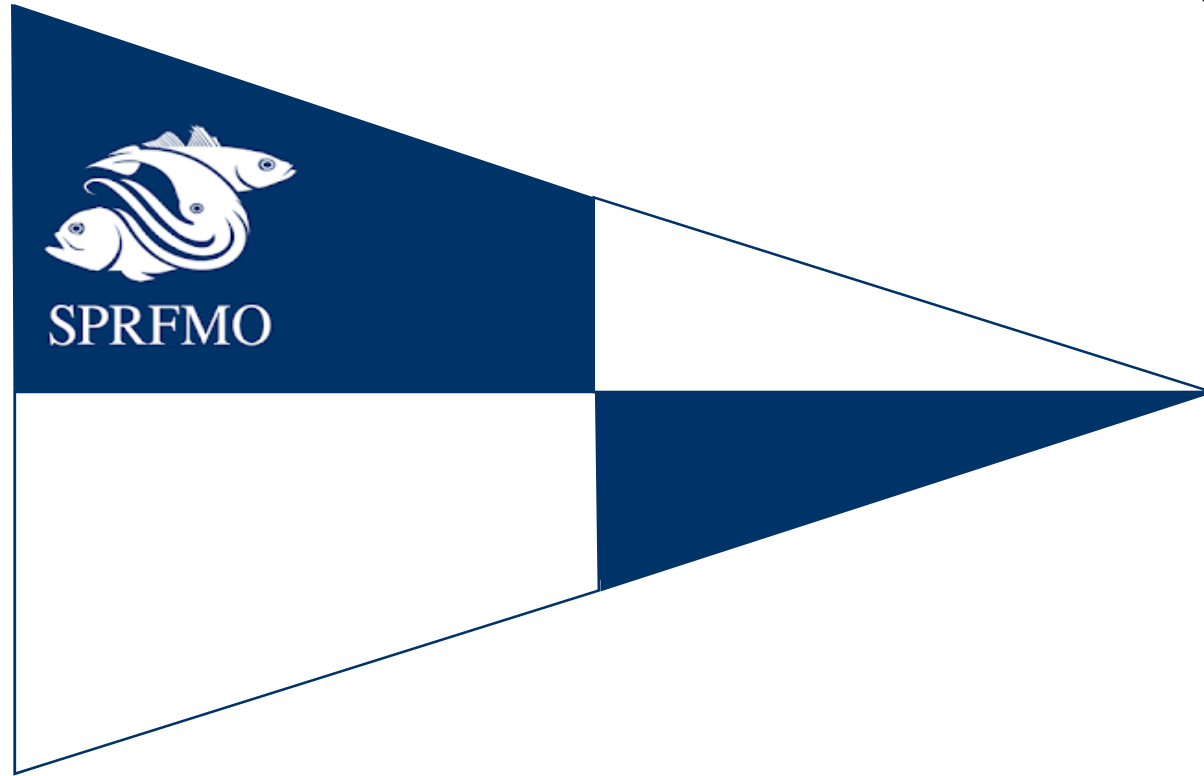
Geneva Conventions Identification Card



The following Inspection Flag is intended to be used by authorized inspection vessels. The dimensions are 94 cm by 213 cm (height by length)



The following Boarding pennant is intended to be used by authorized inspection vessels. The dimensions are 44 cm by 66 cm (height by length)



South Pacific Regional Fisheries Organization (SPRFMO)		DATE: DD MM YYYY / /		BOARDING IDENTIFICATION NUMBER:		
<b>BOARDING REPORT</b>						
BOARDING TIME (UTC)						
START			FINISH			
<b>VESSEL</b>	VESSEL REGISTRATION NUMBER			VESSEL NAME		
	IMO NUMBER			OPTIONAL: (UNIQUE IDENTIFIERS)		
	RFMO VESSEL ID/RECORD LOCATOR			LENGTH (METERS)		TONNAGE (DWT)
	HOMEPORT			FLAG	IRCS	CREW (NUMBER)
	VESSEL TYPE:					
<input type="checkbox"/> PURSE SEINE <input type="checkbox"/> HANDLINE <input type="checkbox"/> LIFT NETS <input type="checkbox"/> LONGLINE <input type="checkbox"/> BUNKER <input type="checkbox"/> TRAWL <input type="checkbox"/> POLE/LINE <input type="checkbox"/> CARRIER <input type="checkbox"/> GILLNET <input type="checkbox"/> TROLL <input type="checkbox"/> SQUID JIGGER <input type="checkbox"/> POT/TRAP <input type="checkbox"/> RING NET <input type="checkbox"/> DIP NET <input type="checkbox"/> OTHER _____						
<b>MASTER</b>	MASTER'S NAME (LAST, FIRST, MIDDLE INITIAL)				NATIONALITY	
	ADDRESS					
	PASSPORT NUMBER AND ISSUING AUTHORITY				BIRTHDATE (DD/MM/YYYY)	
<b>OWNER</b>	OWNER'S NAME (LAST, FIRST, AND MIDDLE INITIAL)				NATIONALITY	
	ADDRESS				PHONE	
	COMPANY NAME					
<b>VESSEL ACTIVITIES</b>	POSITION (LATITUDE/LONGITUDE)			DETAILED GEOGRAPHIC LOCATION		
	VESSEL ACTIVITY					
	<input type="checkbox"/> ENGAGED IN FISHING <input type="checkbox"/> TRANSITING <input type="checkbox"/> SUPPORTING					
	COURSE (000T)		SPEED (KNOTS)		OTHER VESSELS IN THE AREA/ACTIVITIES	
	LAST PORT OF CALL		DATE		NEXT PORT OF CALL	
	DATE (ESTIMATED)					
OBSERVER ONBOARD? <input type="checkbox"/> YES <input type="checkbox"/> NO		OBSERVER'S NAME			OBSERVER'S NATIONALITY	
<b>CMM COMPLIANCE</b>	<b>LIST APPLICABLE CMM AND INDICATE YES/NO FOR PRESENCE OF POTENTIAL VIOLATION</b>					
	CMM-01-2021: Trachurus murphy		<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A		CMM 09-2017: Seabird Mitigation	
	CMM 03-2021: Bottom Fishing		<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A		CMM 12-2020: Transshipment	
	CMM 03a-2021: Deepwater Species		<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A		CMM 15-2016: Vessels w/o Nationality	
	CMM 04-2020: IUU Vessels		<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A		CMM 16-2021: Observers	
	CMM 06-2020: VMS		<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A		CMM 17-2019: Fishing Gear/Marine Pollution	
	CMM 08-2019: Gillnets		<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A			
	CMM 18-2020: Jumbo Flying Squid		<input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> N/A			

SUMMARY OF TOTAL CLAIMED ONBOARD CATCH						
CATCH INFORMATION	EFFORT	FISH SPECIES (WITH 3-ALPHA CODE)	CATCH (METRIC TONS)	HOW PROCESSED	DISCARDS	
	DAYS:					
	SETS:					
	<b>RESULT OF INSPECTION OF CATCH ONBOARD</b> <i>(Comment in the case of a significant difference between the inspector's estimates of the catches on board and the related summaries of catches from vessel logbooks. Note this difference with a percentage):</i>					
<b>REMARKS REGARDING BOARDING AND VESSEL COMPLIANCE WITH APPLICABLE CONSERVATION AND MANGEMENT MEASURES IN FORCE:</b>						
ENFORCEMENT VESSEL NAME			FLAG			
AUTHORIZED INSPECTOR NAME(S)			AUTHORIZED INSPECTOR SIGNATURE(S)			

**PURPOSE OF HIGH SEAS BOARDING AND INSPECTION (HSBI)**

The purpose of this boarding report is to document the outcome of at-sea boardings of fishing vessels of Members of the South Pacific Regional Fisheries Management Organization (SPRFMO) in accordance with the SPRFMO Convention and the Commission’s Conservation and Management Measures for High Seas Boarding and Inspection.

**IMPORTANT NOTICE TO OWNER OR OPERATOR**

Any evidence obtained as a result of a boarding and inspection pursuant to these procedures with respect to a violation, including a serious violation, by a fishing vessel of the Convention or Conservation and Management Measures adopted by the Commission and in force shall be referred to the authorities of the fishing vessel for action in accordance with Article 27 of the SPRFMO Convention.

**SERIOUS VIOLATION**

For the purposes of these procedures, a serious violation means a violation as defined in Article 1 of the SPRFMO Convention, or as defined in any of the Conservation and Management Measures adopted by the Commission, and any such other violations as may be determined by the Commission.

**BOARDING REMARKS**

**MASTER’S COMMENTS**

MASTER’S NAME (PRINT)

MASTER’S SIGNATURE