



CMM 10-2020 ANNEX 2¹

Template for the Implementation Report of the SPRFMO Conservation and Management Measures

SPRFMO Secretariat

This Implementation Report covers the reporting period 01 October 2023 to 30 September 2024.

*Notwithstanding, certain data requested may reference calendar year or other timeframes as noted. All Members/CNCPs, regardless of whether their vessels engaged in activity in the SPRFMO Convention Area, **are required** to submit their Implementation report with the relevant sections completed (including "NIL" responses) no later than **90 days before** the start of the CTC annual meeting.*

REPORT DUE: Wednesday, 13 November 2024

Reporting Member/CNCP: **ECUADOR**

Date report submitted: 15/01/2025

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¹ Annex 2 has been amended by the Secretariat as per paragraph 5b of CMM 10-2020 (CMS).

CMM 01-2024 *Trachurus murphyi*¹

General Provisions

Para 1-2: Did your flagged vessels (including carrier vessels) participate in the *T. murphyi* fishery in, or adjacent to (including waters under National Jurisdiction), the Convention Area or did any vessels land or tranship *T. murphyi* within your ports?

YES ☐ If yes, complete the following tables and relevant questions for this CMM

NO ☐ If no, complete **Para 4 / update Table 1** (below) and proceed to the next CMM.

Catch Management

Para 4: Are you a Member/CNCP with an identified share of the total catch of *Trachurus murphyi* as per the tonnages set out in Table 1 of this CMM?

YES ☒ If yes, update Table 1 below identifying your share (initial and adjusted catch limit) and your total catches (if applicable)

NO ☐ If no, enter 0 (zero) in Table 1

Table 1: *Catch Management (Paras 4, 8) – *Note: Calendar Year Reporting for this table

Year	CJM catch limit	CJM catch limit after applying catch transfers	CJM catch total
2023	12,570 t	500 t (Original amount +/- transfers)	3 t (Final 2023- Annual Catch Report)
2024	14,456 t	456 t (Original amount +/- transfers)	55,65 t (Catch estimate as of 31 Oct 2024)

Para 7: Do you have additional measures that limit your vessels to catches less than the amount specified in Table 1 above?

YES ☒ If yes, please elaborate. Was the Secretariat advised?

Ecuador hasn't authorized fishing vessels within the Convention Area. Nevertheless, we have implemented Ministerial Agreement MAP-SRP-2018-0105-A to apply CMM adopted by the SPRFMO including catch limits. Currently, we have reported catches only within the National jurisdiction area from our small pelagics fisheries and we confirm that we don't have fishing vessels authorized within the Convention Area.

NO ☐

Cooperation in Respect of Fisheries in Adjacent Areas Under National Jurisdiction

Para 24: Is any part of your national jurisdiction area adjacent to the Area to which CMM 01-2024 (*T. murphyi*) applies?

YES ☐ If yes, please answer the questions below

- Have you decided to apply the measures described in CMM 01-2024 (*T. murphyi*) paragraphs 12-24 within your national jurisdiction areas?

YES ☒ NO ☐ Partial ☐

- Have you informed the Secretariat about conservation and management measures in effect for *T. murphyi* within your national jurisdiction areas (e.g., TAC resolutions)?

YES ☒ NO ☐

<https://www.sprfmo.int/assets/Basic-Documents/Convention-and-Final-Act/Article-20-Consents/MPCEIP-SRP-2024-0245-O-1-exp-cons.pdf>

¹ The implementation of the following paragraphs can be found within the corresponding CMMs:
paragraph 14 (required data, annual catch report) can be found within CMM 02-2022.
paragraph 16 (VMS) can be found within CMM 06-2023.
paragraph 19 (annual report) can be found within CMM 02-2022.
paragraph 20 (CMS) can be found within CMM 10-2020.



NO ☐



Para 26: Are you a coastal State that has not given your express consent under Article 20 paragraph 4(a)(ii) of the Convention?

YES ☐ If yes, please answer the questions below

- Have you established domestic measures for catches of *T. murphyi* that may result in catches in the area under your national jurisdiction exceeding 106,735 tonnes?

YES ☐ NO ☒ If no, continue to the next CMM

Enter relevant details here

- If so, did you submit to the Secretariat a report explaining to the Commission how the national measures concerning the *T. murphyi* fishery in areas under your national jurisdiction are compatible with those adopted by the Commission?

YES ☐ NO ☐

NO ☐

Data Collection and Reporting

Table 2: Data Collection and Reporting (Paras 11, 12, 13, 17, 19, 23)

Nº of monthly (and/or 15-day) reports provided	Lists of authorised, active and transhipping vessels provided?	Age-length and/or survey information provided to SC?	Observer coverage level
1	YES ☐ NO ☒ Partial ☐	YES ☒ NO ☐	2023: 18.4% (National Area small pelagics fisheries) 2024: 24.6% (National Area small pelagics fisheries)

Para 21: Did you conduct any research or stock assessments for *T. murphyi* during this past year?

YES ☐ If yes, please answer the question below

- Were they submitted to the Scientific Committee?

YES ☐ NO ☐

Enter relevant details here

NO ☒

Para 22: Did any vessels land or tranship *T. murphyi* within your ports and did you take measures to verify those catches?

YES ☒

NO ☐



CMM 02-2022 Standards for the Collection, Reporting, Verification and Exchange of Data¹

Annual Reports *(requirement for all Members and CNCPs)*

Para 7: Did you submit an annual report to the Scientific Committee (at least one month in advance of the annual SC meeting) informing them about the fishing, research, management activities undertaken over the previous (2023) year (including all required sections)? **(NOTE: NIL report requirements if no activity carried out)**

YES ☒

NO ☐

Enter relevant details here

General

Did you have vessels in the Convention area during the reporting period and/or during the period January to December 2023 for which you have fisheries catch, landing, transshipment and/or observer data?

YES ☐

NO ☒

If YES, complete the remaining questions in the relevant sections; if NO, proceed to the next CMM.

Data on Fishing Activities and the Impacts of Fishing

Para 1a: Did you collate annual catch totals raised to “live” weight for all species/ groups caught in the previous 2023 calendar year (as described in Annex 13) and provide this to the Secretariat prior to 30 September 2024?

YES ☐

NO ☐

Partial ☒

Enter relevant details here

Table 3: Participation in SPRFMO fisheries during this reporting period (Para’s 1b/c, 2b/c)

Fishing method	Activities undertaken?	Main species caught?	Nº of vessels	Activities observed? (Yes/No)
Demersal Hand/dahn/Drop line	YES ☐			
Demersal Longline	YES ☐			
Demersal Trapping	YES ☐			
Demersal Trawl	YES ☐			
Pelagic Purse seine	YES ☐			
Pelagic Trawl	YES ☐			
Squid jigging	YES ☐			
Transshipment	YES ☐			
Landings	YES ☐			
Reefer Landings	YES ☐			
<i>Other fishing methods or data (rows can be added if needed)</i>	YES ☐			

Para 1d: Did you compile and submit all required data on fishing activities and the impacts of fishing and provide those to the Secretariat using the SPRFMO data submission templates prior to 30 June 2024?

YES ☐

NO ☐

Partial ☒

¹ The implementation of paragraph 2a (Implementation of observer programmes) can be found within CMM 16-2024



Observer Data

Para 2c Was the previous year's observer data (Jan – Dec 2023) submitted to the Secretariat in Microsoft Excel format prior to 30 September 2024?

YES ☐

NO ☐

Partial ☐

NA outside Convention Area

Data Verification

Para 4: Have you developed mechanisms to verify your SPRFMO fishery data?

YES ☒

NO ☐

Enter relevant details here

Data Exchange

Para 5: Have you reported the data relevant to the methods listed in Table 3 (previous page) to the Secretariat in accordance with the appropriate specifications and described formats and using the templates created by the Secretariat?

YES ☐

NO ☐

Partial ☐

NA



CMM 03-2023 Bottom fishing in the SPRFMO Convention Area^{1,2}

Definitions

Para 2: Did your vessels engage (or intend to engage) in “bottom fishing” activities in the Convention Area?

YES ☐ *If yes, continue to complete the questions in this CMM*

NO ☒ *If no, respond to Para 10 below and then skip to the next CMM*

General Provisions

Para 10: Have you prohibited your vessels from participating in bottom fishing activities in the Convention Area, **except** when in accordance with this CMM together with CMM 03a-2023 (Deepwater species)?

YES ☒

NO ☐

Para 11: Were all your vessels that engaged in bottom fishing activities duly authorised and listed in the SPRFMO Record of Vessels in accordance with CMM 05-2023 (Record of Vessels)?

YES ☐

NO ☐

Para 12: Under which provision have you authorised your vessels to engage in bottom fishing activities in the Convention Area? *(mark all that apply)*

- **12. a)** Authorisation has been given by the Commission under Para 22(d)(i).

YES ☐

NO ☐

Comments:

- **12. b)** Approval has been given by the Commission under paragraph 14 of CMM 13-2024 (Exploratory Fisheries).

YES ☐

NO ☐

Comments:

Encounters with Potential VMEs

Para 27: Did any of your vessels trigger the VME encounter protocols by encountering VME indicator taxa above the specified thresholds?

YES ☐ *If yes, continue to answer questions below*

- How many Encounters occurred during this reporting period?

Enter relevant details here

- In each case, did the vessel cease bottom fishing immediately within the encounter area?

YES ☐

NO ☐

- In each case, did the vessel report the encounter immediately in accordance with the Guidelines for the preparation and submission of notifications of encounters with potential VMEs, contained in Annex 7?

YES ☐

NO ☐

NO ☐

¹ The implementation of paragraph 20 (Marine mammals, Seabirds, Reptiles and Other Species of Concern) can be found within CMMs 02-2022 and 09-2017 as appropriate.

² The implementation of paragraph 37 (benthic bycatch) can be found within CMMs 02-2022.



Para 30: Did you ensure that your vessels have not bottom fished in any officially notified Encounter Area pending any related Commission decision?

YES ☐

NO ☐

Bottom Fishing Management Areas

NOTE: Activities related to approved Exploratory Fishery CMMs can be reported in the relevant CMM 13/14 section. No further entry in this section required. CMM 13-2024 (Exploratory Fisheries) does not apply to bottom fishing in the three Management Areas established in paragraph 13.

Para 14: Did all the bottom fishing activity in the Convention Area by your authorised vessels occur within the three defined Management Areas (para 13) and in accordance with the terms of this CMM¹ (together with CMM 03a-2023)?

YES ☐

NO ☐ *Elaborate if activities occurred outside the management areas or contrary to the CMM*

Para 16: Did you ensure that all your vessels complied with the following three provisions²?

a) Bottom trawling shall only occur in a bottom trawl Management Area;

YES ☐

NO ☐

b) Midwater trawling shall only occur in a midwater trawl Management Area or a bottom trawl Management Area;

YES ☐

NO ☐

c) Bottom lining shall only occur in a Management Area.

YES ☐

NO ☐

Assessment of Proposed Bottom Fishing

Para 21a: During this reporting period, did you submit to the Scientific Committee, not less than 60 days before the SC annual meeting, a Bottom Fishery Impact Assessment that met the appropriate standard (SPRFMO BFIAS) and included consideration of cumulative impacts and proposed mitigation measures to prevent such impacts?

YES ☐

NO ☐

Para 22: Have you ensured that a proposed assessment meeting the requirements contained in paragraph 21(a) is submitted to the Scientific Committee and Commission at least every 3 years, and also when a substantial change in the fishery has occurred such that it is likely that the risk or impact of the fishery may have changed?

YES ☐ *Enter relevant details here if applicable*

NO ☐

¹ Excluding fishing covered by CMM 13-2024 (Exploratory Fisheries)

² Excluding fishing covered by CMM 13-2024 (Exploratory Fisheries)



Para 31: During this reporting period, did you submit any detailed descriptions of VME Encounters to the Scientific Committee (including suggested management actions) because of a temporary suspension pursuant to paragraph 27?

YES ☐

NO ☐

Monitoring and Control of Bottom Fishing Activities

Para 38a: Did you ensure that your vessels participating in bottom fishing:

- are equipped and configured so that they can comply with all relevant SPRFMO CMMs?

YES ☐

NO ☐

- act in accordance with CMM 06-2023 (Commission VMS), polling once every 30 minutes for the duration of the trip (*i.e., port to port VMS coverage*)?

YES ☐

NO ☐

- report tow or set start and end position to 1/100th degree resolution - decimal format?

YES ☐

NO ☐

Observer Coverage

Para 39: What was your observer coverage level (during this reporting period) for:

- Vessels using bottom trawl?

Enter relevant details here

- Vessels using mid-water trawl gear?

Enter relevant details here

- Vessels using bottom line gear (expressed as the percentage of observed hooks)?

Enter relevant details here



CMM 03a-2023 Deepwater Species in the SPRFMO Convention Area

Interpretation

Para 2: Did your flagged vessels engage in “bottom fishing” activities pursuant to CMM 3a-2023 (*excluding Exploratory fisheries*) in the Convention Area? (*Note: Report Exploratory Fisheries information separately under the respective CMM 14 section*)

YES ☐ If yes, complete the table below

NO ☒ If no, skip to the next CMM

Table 4: CMM 03a-2023 (Deepwater Species): Catch Limits and Monitoring (Paras 10, 13, & 15)

Species - Area	2023 Catch Limits (t)	2023 Catch Limits after applying transfers	Final 2023 Annual Catch Totals
Orange Roughy – Tasman Sea	AUS 76; NZ 302		
Orange Roughy – Louisville Ridge	AUS 58; NZ 523		
Orange Roughy – Westpac Bank	AUS 13; NZ 245		
Orange Roughy – South Tasman Rise (HS)	AUS 0; NZ 0		
All other target and non-target species	AUS 211; NZ 762		
Species - Area	2024 Catch Limits (t)	2024 Catch Limits after applying transfers	Provisional 2024 Catch Totals* (*as of 31/10/2024)
Orange Roughy – Tasman Sea	AUS 76; NZ 302		
Orange Roughy – Louisville Ridge	AUS 58; NZ 523		
Orange Roughy – Westpac Bank	AUS 13; NZ 245		
Orange Roughy – South Tasman Rise (HS)	AUS 0; NZ 0		
All other target and non-target species	AUS 211; NZ 762		
Number of catch reports provided in this reporting period (Oct 2023– Sept 2024)			

Catch Limits and Monitoring (Paras 16, 17 & 18)

Para 16: Did your vessels participating in bottom fishing reach 70% of the allocated tonnage (*Para 16; Table 1*)?

YES ☐ If yes, continue to answer questions below

- Was the catch limit (tonnage) reached for any area(s)?

YES ☐ If yes, elaborate

NO ☐

- For each case, did the vessel(s) cease bottom fishing once the catch limit was reached?

YES ☐ If yes, elaborate

NO ☐

NO ☐



Para 17/18: Did your vessels have catches of Orange Roughy from any of the following Tasman Sea stocks:

1) Northwest Challenger; 2) Lord Howe Rise; or 3) West Norfolk Ridge?

YES ☐ *If yes, continue to answer questions below*

- To which of the above Stock Areas do the catches pertain (i.e., 1, 2, and/or 3)?

Enter relevant details here

- In each case, were weekly (or daily if applicable) catch reports submitted to the Secretariat?

YES ☐

NO ☐ *If no, elaborate*

- In each case, was fishing effort by your vessels ceased once the Secretariat advised that the appropriate thresholds were reached to stop fishing for the remainder of the year?

YES ☐

NO ☐ *If no, elaborate*

NO ☐

Para 19/21: Did you notify the Secretariat of intention to carry forward under-caught **orange roughy** catch for a defined area to the next fishing year?

YES

☐

If yes, continue to answer questions below

- Identify the amounts being carried forward (by defined area)?

Enter relevant details here

- Does the **total** carry forward amount exceed 10% of your allocated catch limit for the applicable year?

YES ☐ *If yes, elaborate*

NO ☐ *State the total carry forward %*

NO ☐

Para 26: For your flagged vessels, was the Secretariat notified no less than 72 hours in advance of commencing fishing activities of the intent to fish in the Tasman Sea (*west of 178 E*) or the Westpac Bank areas?

YES ☐

If yes, identify the number of trips (by vessel name)

Partial ☐

Elaborate or explain

NO ☐

Elaborate or explain

Para 27: For your flagged vessels participating in bottom fishing, was the Secretariat notified of the monthly catches (in electronic format) within 20 days of the end of each month?

YES ☐

If yes, identify the number of monthly reports submitted

Partial ☐

Elaborate or explain

NO ☐

Elaborate or explain



CMM 04-2020 Vessels presumed to have carried out IUU fishing activities in the SPRFMO Area

Information on alleged IUU Fishing activities

Para 2: Did you transmit a list of presumed IUU fishing vessels (along with suitably documented evidence relevant to activities in the SPRFMO Convention Area over the past 2 years) to the Secretariat at least 120 days before the annual meeting?

YES ☐ *If yes, continue to answer Para 4*

- **Para 4:** Did you inform the relevant flag State before, or at the same time as, transmitting the list?

YES ☐

NO ☐

Enter relevant details here

NO ☒

Draft IUU Vessel List

Para 5: Were any of your flagged vessels on the draft IUU Vessel List reviewed in 2023?

YES ☐ *If yes, answer the following question (Para 7)*

- **Para 7:** Did you notify vessel owners and inform them about the potential consequences?

YES ☐

NO ☐

Enter relevant details here

NO ☒

IUU Vessel List

Para 15: Were any of your flagged vessels on the final 2023 IUU Vessel List?

YES ☐ *If yes, answer the questions below*

- Did you notify the vessel owners and inform them about the consequences of inclusion?

YES ☐

NO ☐

- Were any measures were taken to eliminate these IUU activities and was the Commission informed of these actions?

YES ☐

NO ☐

Enter relevant details here

NO ☒

Para 16: Did you enforce any of the measures described in paragraph 16 of CMM 04-2020 (IUU)?
(points a to i)

YES ☒ *If yes, elaborate below*

NO ☐

Ecuador has ratified Port State Measures Agreement and has implemented protocols regarding entrance of fishing vessels listed as IUU in RFMOs. National Fisheries Law (LODAP, 2020) has implemented protocols for entrance to Port, unloads, vessels on IUU Lists, among other dispositions, references could be identified in Articles 136, 138, 145, 146, 147, 148, 163 and 201.



Modification of the IUU Vessel List

Para 21: Did you request the removal of any of your vessels from the 2024 IUU Vessel List, during the most recent intersessional period?

YES ☐ *If yes, elaborate below*

NO ☒

Enter relevant details here

Action Against Nationals Involved in IUU Fishing Activities

Para 25: Did you take any measures against your nationals that have been identified as engaged in, responsible for, benefiting from, or supporting the activities of any vessel engaged in IUU fishing activities in the SPRFMO Convention Area?

YES ☐ *If yes, elaborate below*

NO ☐

NA since no case was identified

Para 28: Did you take any other actions and/or measures in accordance with this CMM that have not previously been reported in this section?

YES ☐ *If yes, elaborate below*

NO ☒

Enter relevant details here

Information Indicating a Change of Circumstances of Vessels Appearing on the Current IUU Vessel List

Para 29: Did you transmit information which indicated a change in the details of a vessel (such as name International Radio Call Sign, External markings etc) of a vessel appearing on the SPRFMO IUU Vessel List to the Secretariat during this reporting period?

YES ☐ *If yes, elaborate below*

NO ☐

NA



CMM 05-2023 The Commission Record of Vessels authorised to fish in the Convention Area

General

Para 1/3: Are you able to exercise effectively your responsibilities (including enforcement actions) for all vessels flying your flag, and authorised to fish in the Convention Area, in respect of such vessels under the Convention, including relevant CMMs adopted by the Commission, and in accordance with relevant international law?

YES ☒

NO ☐

Partial ☐ *If no or partial, elaborate below*

Enter relevant details here

Para 2: Did you issue or maintain an authorisation to fish in the Convention Area to any vessel included on any RFMO IUU vessel list or on the IUU vessel list of CCAMLR?

YES ☐ *If yes, elaborate below*

NO ☒

Enter relevant details here

Para 4/5: Did you maintain a register of your flagged fishing vessels authorised to fish in the SPRFMO Area?

YES ☒ *If yes, answer the following question (Para 5)*

Does it contain all the **mandatory** information as described in Annex 1 of CMM 05 - Record of Vessels? Elaborate as necessary.

Ecuador as a Coastal State has the interest of developing its own fleet on the species of the Convention, nevertheless, mechanisms for monitoring and control are still being developed and none operation has begun yet.

YES ☐

NO ☒

Partial ☐

NO ☐

Vessel Data Submission:

Table 5: Vessel Data Submission Summary (Para's 4, 6, 7, 8)

Para 4 – Total Nº of vessels authorised for SPRFMO on your vessel register during the reporting period?	Para 6 - Nº of new vessels authorised during the reporting period? <i>(15-day advance notification prior to first entry)</i>	Para 7 - Nº of notifications regarding modifications to vessel data submitted during the reporting period?	Para 8- Nº of revoked, relinquished or invalid authorisations during the reporting period? <i>(Notify no more than 3 days)</i>	Authorisation/ Relinquishment/ Modification details submitted within the required timeframes? <i>(Para 6/7 = 15 days; Para 8 = 3 days)</i>
0	0	0	0	YES ☒ NO* ☐

**Elaborate on any issues/exceptions:*

List of Active Vessels

Para 13: Did you notify the Executive Secretary of your list of authorised vessels that are **actively fishing** or **engaged in transshipment** in the SPRFMO Convention Area (either monthly *(for CJM)* or prior to 30th January as appropriate)?

YES ☐ Please specify for the **2023 CALENDAR YEAR:**

- Number of Vessels actively fishing (Catcher vessels):
- Number of Vessels only engaged in transshipment activity:
- *Optional - Number of "other vessels" (e.g. bunker, research)*



NO ☐

N/A ☒ We did not have any vessels authorised to operate in the SPRFMO Area during the relevant period

CMM 06-2023 The Vessel Monitoring System in the SPRFMO Convention Area

Application: Commission Vessel Monitoring System

Para 2: Did any of your authorised vessels operate in the SPRFMO Convention Area or the adjacent buffer zone during the reporting period?

YES ☐ If yes, continue to answer remaining questions in this CMM.

In total, how many of your individual authorised vessels reported their movements and activities to the Commission VMS during the reporting period? _____

NO ☒ If no, answer the following question

Did you request access to Commission VMS data during the reporting period?

YES ☐ Proceed to Paragraphs 13, 21, 24, 25, 27, 28

NO ☒ Proceed to the next CMM

Purpose: Continuously Monitor the Movements and Activity of Fishing Vessels

Para 4:

Did your authorised vessels, operating in the SPRFMO Convention Area or the adjacent buffer zone, continuously reporting their positions?

YES ☐

NO ☐

VMS positional reports must be transmitted to, and displayed on, Commission VMS in a manner to ensure continuous monitoring of the vessels active in the SPRFMO Convention Area and 100 nm Buffer zone. Have you been advised of any disruption¹ to the Commission VMS display for any of your flagged vessels during the reporting period?

YES ☐

NO ☐

If VMS disruptions or data gaps were identified during the reporting period for vessels flying your flag, has the missing VMS positional data since been uploaded to complete the Commission VMS record?

YES ☐

NO* ☐

**The Secretariat will provide separately a summary of VMS issues identified for vessels flagged to the Member/CNCP. Comments can be provided in the separate summary sheet relevant to each issue including whether VMS data was recovered or an explanation of the situation.*

Nature and Specification of the Commission VMS

Para 9: Automatically reporting of VMS positional data to the Commission VMS for your authorised vessels is via which of the following options?

☐ (a) To the Secretariat via your FMC; *(default; applies to most flag states)* or

☐ (b) Simultaneously to both the Secretariat and your FMC

¹ As per the direction from the COMM10 meeting, all VMS issues identified for a Member/CNCP will form part of the discussion at the Compliance Assessment process. The Secretariat will advise Members/CNCPs of VMS issues as they arise as well as provide an overall summary prior to the annual CTC meeting (including any comments/explanations).



Para 11: If you have selected option 9 (a) (*applies to most*), did your FMC automatically forward VMS reports to the Secretariat regularly at intervals of **not less than one hour** for all vessels that were active in the Area?

YES ☐

NO ☐

Partial ☐

Enter relevant details here

Para 12: Did all your flagged vessels that are required to report to the Commission VMS use a functioning ALC that complies with the Commission's Minimum Standards as specified in Annex 1, CMM 06-2023?

YES ☐

NO ☐

Procedure for Manual Reporting

Para 14:

Did the Secretariat notify you at any time during the Reporting Period that there were data gaps in the VMS positional display on Commission VMS or that VMS positional data was not displaying for any of your vessels during the reporting period?

YES ☐ *If yes, please answer the questions below*

- Upon follow up, were any of the failures/VMS disruptions due to **the inability of the vessel** to automatically report its VMS positional data from the vessel to the FMC? (e.g. ALC failure)

YES ☐ *Answer following the questions pertaining to Annex 3 obligations*

NO ☐ *Proceed to paragraph 16*

CMM 06-2023 Annex 3 (Manual Reporting Rules):

- Para 1: Did you direct any of your vessels to apply the manual reporting procedure specified in Annex 3, of during this reporting period?

YES ☐ *If yes, please list vessel(s)/date here and answer questions below*

Enter relevant details here

NO ☐

- Para 2: After commencing Manual reporting, were the manual reports provided to the Secretariat/updated to Commission VMS?

YES ☐

NO ☐

Enter relevant details here

- Para 3: After commencing Manual reporting, were you able to re-establish normal automatic reporting of VMS positions to the Commission VMS?

YES ☐

NO ☐ *Elaborate*

Para 3: Was any vessel ordered to stop fishing, stow all fishing gear, and return immediately to port to undertake Repairs.

YES ☐ *Elaborate*

NO ☐

NO ☐



Para 16: Do you have reasons to suspect that any SPRFMO reporting ALC does not meet the requirements specified in Annex 1 of CMM 06-2023?

NO ☐

- YES ☐ If yes, please answer the questions below

NO ☐

- YES ☐

NO ☐

YES ☐

NO ☐

Enter relevant details here or provide information as separate attachment

[illegible]



Security and Confidentiality Requirements

Para 13: Did you receive or access any VMS data from the Commission VMS during the assessed period?

YES ☐ *If yes, please answer the question below*

- Did you adhere with all the Security and Confidentiality requirements specified in Annex 2 of CMM 06-2023 as well as the Maintenance of Confidentiality Requirements of paragraph 6 of CMM 02-2022 (Data Standards)?

YES ☐

NO ☐ *If no, please elaborate*

NO ☐

Use and Release of VMS Data Requiring the Permission of the Member or CNCP

Para 21: Have you designated a **VMS Point of Contact** for the purposes of any communication regarding the VMS system to the Secretariat? *(List of current contacts found on the Members page of website)*

YES ☐ *If yes, please answer the question below*

- If so, is the VMS Point of Contact listed on the SPRFMO website still valid?

YES ☐

NO ☐ *If no, please update the contact information*

NO ☐ *If no, please provide the information*

Use and Release of VMS Data not Requiring the Consent of the Member or CNCP

Para 24-25: Did you receive any VMS data from the Commission VMS for the purposes of planning or conducting active surveillance operations and/or inspections at sea?

YES ☐ *If yes, please answer the question below*

- Did you keep data confidential and restrict access to officials deemed necessary to know?

YES ☐

NO ☐ *If no, please explain*

- Did you delete VMS data within 24 hours of the conclusion of the operation and notify the Secretariat in writing?

YES ☐

NO ☐ *If no, please answer the questions below*

- If the VMS data was retained for longer than 24 hours, have you informed the Secretariat about the purpose and expected timing of the additional period of data retention?

Enter relevant details here or indicate Not Applicable

NO ☐

Para 27: Following active surveillance and/or inspection activity relevant to the VMS data request, was the Secretariat and the relevant VMS Point of Contact provided with a report that included the name of the vessel or aircraft on active surveillance and/or inspection activity?

YES ☐

NO ☐ *If no, please explain*

Para 28: Did you request VMS data for supporting search and rescue activities undertaken by a competent MRCC?

YES ☐ *If yes, answer the question below*

- Did you ensure that the data was only used for that purpose?

YES ☐ NO ☐

NO ☐



CMM 07-2022 Minimum Standards of Inspection in Port

Points of Contact

Para 5: Have you transmitted the name(s) and contact information for your Points of Contact to the Secretariat for the purposes of receiving notifications?

- YES ☒ *Please update if there are any changes required to any of the contact information on the SPRFMO website*
NO ☐

Scope

Para 1: Did your ports receive, or expect to receive, foreign fishing vessels carrying **SPRFMO-managed species caught in the Convention Area** that had **not** been previously landed or transhipped at port or at sea?

- YES ☐ *If yes, continue to answer the following questions on this CMM*
NO ☒ *If no, skip to the next CMM*

Table 6: Implementation of Port Standards (Para's 9, 11, 13, 15, 17, 19)

Designated port list provided to Secretariat?	Nº of foreign fishing vessels ¹ seeking to use port services	Nº of foreign fishing vessels denied port entry	Nº of inspections	Percentage of foreign fishing vessel landings / port transhipments inspected
YES ☐ NO ☐				

Designated Ports

Para 8: Do you have sufficient capacity to conduct inspections in every designated port?

- YES ☐
NO ☐

Enter relevant details here

Prior Notification

Para 11: Do you require foreign fishing vessels to provide information prior to any landing or port transhipment?

YES ☐ *If yes, answer the questions below*

- Does that information comply with paragraph 11 of CMM 07-2022 (Port Inspection)?
YES ☐
NO ☐ *If no, please answer the question below*
- Did you, in your capacity as a port State, promptly inform the Secretariat of any request for port services made under this CMM?
N/A ☐ *(No Port Call Requests Received)*
YES ☐ Partial ☐ *Enter relevant details here*
NO ☐ *Enter relevant details here*

NO ☐ *Enter relevant details here*

Para 12: Is the notification period for a foreign fishing vessel's port call request different than "at least 48 hours"?

- YES ☐ *If yes, elaborate below*
NO ☐

Enter relevant details here

¹ Limited to foreign fishing vessels authorized on the SPRFMO Record of Vessels.



Port Inspections

Para 16a): Did you receive any specific requests from other Members, CNCPs and/or relevant RFMOs to inspect a particular vessel?

YES ☐ *If yes, elaborate below*

NO ☐

Enter relevant details here

Inspection Procedure

Para 20: Did you encounter any issues or difficulties with the inspection procedures described in paragraphs 20-25 of CMM 07-2022 (Port Inspection)?

YES ☐ *If yes, elaborate below*

NO ☐

Enter relevant details here

Procedure in the Event of Infringements

Para 26: Did any of your inspections uncover evidence that a foreign fishing vessel has committed a breach of the SPRFMO CMMs?

YES ☐ *If yes, elaborate below*

NO ☐

Enter relevant details here

Para 27: Did any of your inspections uncover a breach that you (as a port State) acted on in accordance with your domestic laws?

YES ☐ *If yes, elaborate below*

NO ☐

Enter relevant details here

Para 28: Did you, because of a port inspection, refer any alleged infringements to the relevant flag State so that they may promptly investigate?

YES ☐ *If yes, elaborate below*

NO ☐

Enter relevant details here

Para 29: Were you authorised by another flag State to undertake an investigation in relation to alleged infringements detected because of your port inspection?

YES ☐ *If yes, elaborate below*

NO ☐

Enter relevant details here

Requirements of Developing Members and CNCPs

Para 31: Have you provided (or received) any assistance as described under Paragraph 31 of CMM 07-2022 (Port Inspection)? *(Such as the development of capacity, facilitation of participation and assessment of requirements for developing Members and CNCPs)*

YES ☐

NO ☐

Enter relevant details here



CMM 08-2023 Gillnets in the SPRFMO Convention Area

Gillnets

Para 1: Have you prohibited your vessels from using large scale pelagic driftnets and deepwater gillnets in the SPRFMO Convention Area?

YES ☒

NO ☐

Enter relevant details here

Para 2: Have any of your vessels transited any part of the Convention Area while carrying gillnets?

YES ☐ *If yes, answer the questions 2a – 2d below*

- **Para 2a):** Was 72 hours advance notice given to the Secretariat prior to entry?

YES ☐

NO ☐

- **Para 2b):** Did the vessels operate a VMS polling at least once every hour?

YES ☐

NO ☐

- **Para 2c):** Were VMS reports submitted automatically to the FMC while transiting the Convention Area?

YES ☐

- Were the reports forwarded to SPRFMO FMC? YES ☐ NO ☐

NO ☐ *Enter relevant details here*

- **Para 2d):** Were any Gillnets accidentally lost and/or abandoned overboard?

YES ☐ *Enter relevant details here*

- If yes, was the lost reported to the Secretariate within 48 hours of the gear being lost using the ALDFG process?

YES ☐ NO ☐

NO ☐

NO ☒

CMM 09-2017 Minimising bycatch of seabirds in the SPRFMO Convention Area¹

General Provisions

Para 1 and 2: Do you have flagged vessels participating in SPRFMO fisheries (excluding approved Exploratory Fisheries) using demersal Longlines or trawl gear?

YES ☐ If yes, continue to answer the following questions on this CMM

NO ☒ If no, skip to the next CMM

(Note: Complete the questions below only if your vessels conducted demersal Longline or Trawl Fishing in the Convention Area. Do not include Exploratory fisheries as that info will be provided in the applicable CMM 14 sections)

Fishing with Demersal Longlines

Table 7*: Seabird mitigation measures in Demersal Longline (Para 9 & Annex 1 para 1)

Biological discharge during shooting/hauling prohibited? (Annex 1, Para 1.a)	Identify the Seabird mitigation measures used in SPRFMO Area? (Annex 1, Para 1.b)	Observer coverage level ² ?	Nº of Seabird interactions?	Approx. Seabird mortality rate (per 1000 hooks observed)
YES ☐ NO ☐				

*References the Reporting Period

Annex 1, para 1b) ii: Have you maintained spatially and temporally appropriate observer coverage for the previous 5 consecutive calendar years at levels greater than 10% and recorded a seabird mortality rate less than 0.01 birds/ 1000 hooks?

YES ☐ If yes, your vessels only needed to apply 1 measure; please answer questions below

- Are any of your demersal longline vessels only required to apply one of the three specified mitigation measures (i.e., line weighting, bird scaring, setting at night)?

YES ☐

NO ☐

- During the reporting period have you maintained a minimum of 10% observer coverage over your demersal longline fleet?

YES ☐

NO ☐

NO ☐

Annex 1 para 2: Have any of your demersal longline vessels exceeded a mortality rate of 0.01 birds/1000 hooks during the reporting period?

YES ☐ If yes, answer the following question

Annex 1, para 2a): Please list those vessels and state if, after the mortality event(s), whether these vessels applied at least one additional measure?

Enter relevant details here

NO ☐

¹ When completing this section Members and CNCPs should consider paragraph 20 of CMM 03-2023, as relevant.

² Observer coverage focused on recording seabird bycatch; provided as a percentage of number of hooks.



Trawl Fishing

Table 8*: Seabird mitigation measures in Trawl Fisheries (Para's 3, 9 & Annex 2 para 1, 2)

Nº of vessels that do not discharge Biological material? (Exempt from Mitigation Measures)	No. of vessels discharging Biological material? (Apply the Mitigation Measures)	Identify the seabird mitigation measures used in SPRFMO Area	Observer coverage level ¹	Nº of seabird interactions	Approx. seabird mortality rate (per tow observed)

**References the Reporting Period*

Annex 2, para 2: Have you maintained 100% observer coverage for the previous 5 consecutive calendar years with less than 1 recorded mortality per vessel per year within your SPRFMO trawl fisheries?

YES ☐ *If yes, your vessels only needed to apply 1 measure; please answer questions below*

- Have you exempted any of your vessels from applying the specified mitigation measures (bird scaring lines, responsible discharge management, net cleaning, surface time minimisation)?

YES ☐ *If yes, please list the vessel(s) and identify the fisheries concerned*

Enter relevant details here

NO ☐

- During the reporting period did any mortality events occur?

YES ☐ *If yes, please list the vessels concerned and stipulate whether, after the mortality, these vessels applied appropriate mitigation measures*

Enter relevant details here

NO ☐

NO ☐

Para 3: Did you have any trawlers which are exempt from applying seabird mitigation measures because they discharge no biological material?

YES ☐ *If yes, please list those vessels and stipulate when these vessel exemptions were last reviewed*

Enter relevant details here

NO ☐

¹ Observer coverage focused on recording seabird bycatch; provided as a percentage of number of tows.



CMM 10-2020 Compliance Monitoring Scheme

(NOTE: This Section is Optional – if NIL response please proceed to next CMM)

Purpose

Para 1b: If applicable, please identify any areas in which you feel **technical assistance or capacity building** may be needed to assist Members and CNCPs to achieve compliance.

NA

Para 1c: If applicable, please identify any aspects of CMMs which you feel may require **improvement or amendment** to facilitate or advance their implementation.

NA



CMM 11-2023 High Seas Boarding & Inspection Procedures

Members/CNCPs not having vessels operating in the SPRFMO Convention Area during the Reporting period and who did not engage in High Seas Boarding and Inspections can proceed to the next CMM.

Members Conducting High Seas Boarding and Inspection (HSBI)

Para 9-11: Have you indicated your intention to carry out HSBI in the SPRFMO Convention Area and provided the Secretariat the information required in Paragraph 9 for posting on the Commission Website?

YES ☐

NO ☒

Para 4: Did your Inspection Vessels/Inspectors conduct HSBI in the SPRFMO Convention Area during the Reporting period?

YES ☐ *If yes, please answer the following questions (elaborate in comments if required)*

- Did the inspections adhere to the procedures outlined in **Para 14-20**?

YES ☐

NO ☐ *Elaborate:*

- Did any inspection result in an "Use of Force" incident as per **Para 24-25**?

YES ☐ *Elaborate:*

NO ☐

- Did the Inspectors prepare a full report on each boarding & inspection carried out as per **Para 26-27**?

YES ☐

NO ☐ *Elaborate:*

- Were Authorities for the Fishing Vessel and the Secretariat provided the HSBI report as per **Para 26**?

YES ☐

NO ☐ *Elaborate:*

- Did the Inspectors note any activity or condition that would constitute a Serious Violation as per **Para 32**?

YES ☐

NO ☐

- If a serious violation was detected, were the procedures outlined in **Para 28, 30, and 31** followed?

YES ☐

NO ☐ *Elaborate:*

- Was any evidence obtained following a HSBI referred to the Authorities of the Fishing Vessel for action as per **Para 33**?

YES ☐

NO ☐ *Elaborate:*

- Inspection Vessels – HSBI Statistical Summary:

Number of HSBI successfully completed:

Number of Members/CNCPs represented:

Number of HSBI attempted but refused:

Number of violations identified for follow-up:

Additional Information/Comments:

NO ☒

If no, Proceed to "Member/CNCP's Vessels Inspected" – next page



Members/CNCPs with Fishing Vessels Inspected at Sea

Para 6: Were any of your SPRFMO authorized vessels flying requested to receive a boarding party from a SPRFMO Contracting Party authorized to carry out HSBI?

YES ☐ If yes, please answer the following questions (elaborate in comments if required)

- How many of your flagged SPRFMO vessels were subject to a HSBI request?
Total Number:
- How many of your flagged SPRFMO vessels were subject to an onboard inspection?
Total Number:
- How many potential violations were identified by Inspectors stemming from a HSBI request?
Total Number:
- Did you receive notice as per **Para 16.d** from the Authorities of the Inspection vessel when a HSBI was taking place?
YES ☐ NO ☐ Elaborate:
- Did you receive a full HSBI report following the inspection of your flagged vessels as per **Para 26**?
YES ☐ NO ☐ Elaborate:
- Did the Master of your flagged Vessels adhere to the procedures outlined in **Para 21**?
YES ☐ NO ☐ Elaborate:
- Did any Master of your flagged vessels refuse to accept a boarding and inspection, contrary to **Para 21.a**?
YES ☐ Elaborate: NO ☐
- If a Master of a Fishing Vessel flying your flag refused a boarding and inspection, was an explanation of the reason provided as per **Para 22**?
YES ☐ NO ☐ Elaborate:
- In the event of a HSBI refusal by a fishing vessel, did your Authorities direct the Master to accept the boarding and inspection as per **Para 23**?
YES ☐ NO ☐ Elaborate:
- Did the refusal to accept a boarding by any of your flagged vessels result in the suspension of its authority to fish and it being ordered to port (as per **Para 23**)?
YES ☐ NO ☐ Elaborate:
- Did the Authorities of the Inspection Vessels notify you of any activity or condition that would constitute a Serious Violation as per **Para 32**?
YES ☐ NO ☐
- If you were notified of a serious violation(s) were the actions outlined in **Para 29** followed?
YES ☐ NO ☐ Elaborate:
- Did you receive evidence/inspection results from the Authorities of the Inspection Vessel for action as per **Para 33**?
YES ☐ NO ☐ Elaborate:

Additional Information/Comments:

NO ☒



CMM 12-2023 Transshipment and Other Transfer Activities

(CMM 12-2024 comes in effect 01 October 2025; evaluation for this reporting period remains based on CMM 12-2023)

All Members/CNCPs answer first question:

Have any of your authorised vessels conducted transshipments or other transfer activities (including at-sea transfer of fuel, crew, gear or other supplies) during the reporting period?

N/A ☐ Member/CNCP did not have any vessels in SPRFMO during the reporting period. Skip to next CMM

YES ☐ If yes, complete the following tables and questions on this CMM

NO ☒ If no, skip to the next CMM

General Provisions for all Vessels Engaged in Fishing in the Convention Area

Paras 2: TRANSHIPMENTS: Were all transshipments at-sea and in-port undertaken between authorised vessels included in the Commission Record of Vessels at the time of the activity?

YES ☐

NO ☐ Enter relevant details here

N/A ☐ (Select if your Vessels did not engage in transshipments)

Paras 3: TRANSFERS: In the Convention Area, were at-sea transfers of fuel, crew, gear or any other supplies limited to only vessels on the Commission Record of Vessels at the time of the activity?

YES ☐

NO ☐ Enter relevant details here

N/A ☐ (Select if your Vessels did not engage in at-sea transfers)

<Only complete the rest of this CMM if your flagged vessels transhipped SPRFMO Fisheries Resources>

Paragraph 10: Standard Notification and Reporting

Did you provide all data relating to transshipment events such as such as notifications, observer logsheets, and operational details, to the Secretariat in a standardised format using the appropriate templates?

YES ☐

NO ☐ Enter relevant details here

Transshipments of Fisheries Resources Caught in the Convention Area

Paragraph 4: (not applicable to Jumbo Flying Squid (GIS))

Transshipment **7-day advance** notifications (**not applicable to Jumbo Flying Squid (GIS)**):

Were advance transshipment notifications of the 14-day transshipment period, sent to the Secretariat at least 7 days in advance, for **all receiving vessels** (Carriers) receiving SPRFMO non-GIS resources?

N/A ☐ Member/CNCP Flagged Vessels were not Carrier Vessels or only received Jumbo Flying Squid

YES ☐

NO ☐ Enter relevant details here

Paragraph 5: (Applies to all transshipments)

Transshipment **12-Hr advance** notifications: Were advance transshipment notifications from **all receiving vessels** (Carriers) and from **all unloading** (Catcher) vessels, sent to the Secretariat **at least 12-hours before** the estimated time of the transshipment activity?

YES ☐

NO ☐ Enter relevant details here

**Paragraph 9: Transshipment Operational Details:****Species other than Jumbo Flying Squid (GIS):**

Were the transshipment operational details sent to the Secretariat no later than **7 days after** the transshipment was carried out (applies to both the **receiving vessels** (carriers) and the **unloading** (catcher) vessels)?

N/A ☐ *Member/CNCP Flagged Vessels only involved in transshipment of Jumbo Flying Squid*

YES ☐

NO ☐ *Enter relevant details here*

Jumbo Flying Squid (GIS) only:

Were the transshipment operational details sent to the Secretariat within **20 days of the end of each quarter** for which the transshipment was carried out. This applies to both the **receiving vessels** (carriers) and the **unloading** (catcher) vessels?

N/A ☐ *Member/CNCP Flagged Vessels not involved in transshipment of Jumbo Flying Squid*

YES ☐

NO ☐ *Enter relevant details here*

Complete the following 3 tables (enter a Zero or Nil in the box if not applicable):

Table 9: Transshipments of *T. murphyi* caught in the Convention Area (Para's 5, 6, 9)

Nº of transshipments in which your vessels unloaded <i>T. murphyi</i> catch	Nº of transshipments in which your vessels received <i>T. murphyi</i> catch	How many of the <i>T. murphyi</i> transshipments were observed?

Please list the vessels that conducted transshipments of *T. murphyi* during the reporting period

Enter relevant details here or include the details in a separate attachment.

Table 10: Transshipments of jumbo flying squid caught in the Convention Area (Para's 5, 6, 9)

Nº of transshipments in which your vessels unloaded jumbo flying squid?	Nº of transshipments in which your vessels received jumbo flying squid?	How many of the jumbo flying squid transshipments were observed?

Please list the vessels that conducted transshipments of *jumbo flying squid* during the reporting period

Enter relevant details here or include the details in a separate attachment.

Table 11: Transshipments of demersal species¹ caught in the Convention Area (Para's 5, 6, 9)

Nº of transshipments in which your vessels unloaded demersal catch	Nº of transshipments in which your vessels received demersal catch	How many of the demersal transshipments were observed?

Please list the vessels that conducted transshipments of *demersal species* during the reporting period

Enter relevant details here or include the details in a separate attachment.

¹ Demersal species generally live on or near the ocean floor. Jumbo flying squid (GIS) in SPRFMO is **not** considered to be a demersal species.



CMM 13-2024 Exploratory Fisheries

Requirements for Exploratory Fisheries

Para 6: Are you seeking to permit a vessel(s) under your flag to fish in an exploratory fishery?
(Complete only for NEW requests/applications; not necessary for Exploratory Fisheries already Commission approved)

YES ☐ If yes, answer the following questions

- **Para 8a:** Have you applied to the Commission in respect of these activities?

YES ☐ NO ☐

- **Para 8b:** If have you submitted a Fisheries Operation Plan to the Scientific Committee in respect of these activities?

YES ☐ NO ☐

(If YES, answer the following 2 questions)

- Was the succinct description of the intended Fisheries Operational Plan for **information purposes** submitted no less than **120 days** prior to the next Annual Meeting of the Scientific Committee.

YES ☐ NO ☐

- Was the **full** Fisheries Operational Plan submitted no less than **60 days** in advance of the next Annual Meeting of the Scientific Committee.

YES ☐ NO ☐

NO ☒

Fishing Activity

Para 21: Are any of your vessels currently permitted to fish in an approved SPRFMO exploratory fisheries?

YES ☐

NO ☒

If Yes: did the fishing activities for your flagged vessels conform with the “Fishing Activity” requirements outlined in **Para 21** (abide to the Operational Plan), **Para 22** (completed Data Collection Plan was submitted), and **Para 23** (Independent Observers assigned to collect data)

YES ☐

NO ☐ Elaborate

Para 20: During the reporting period did any of your flagged vessels fish in an exploratory fishery (as defined in Paragraph 4- referenced below- with clarification in Para 5) without approval from the Commission?

YES ☐ Elaborate

NO ☐

Para 4: For the purposes of this CMM, a fishery is an “**exploratory fishery**”:

- a) If it has not been subject to fishing in the previous ten years; or
- b) For the purposes of fishing with a particular gear type or technique, if it has not been subject to fishing by that particular gear type or technique in the previous ten years; or
- c) If fishing in that fishery has been undertaken in the previous ten years pursuant to this CMM, and a decision has not yet been taken in accordance with paragraph 28 or 29 of this CMM to either close or manage the fishery as an established fishery; or
- d) If it is of a kind listed in paragraph 16 of CMM 03-2023 (Bottom Fishing);
- e) If the Scientific Committee has not reviewed and provided scientific monitoring and harvest advice for the fishery, or such advice has not been considered by the Commission.



CMM 14a-2022 Exploratory Fishing for Toothfish by New Zealand-Flagged Vessels in the SPRFMO Convention Area

(This CMM is only applicable to New Zealand; all other Members and CNCPs proceed to the next CMM).

Details and Specification of Exploratory Fishing Activities

Para 6: How many exploratory toothfish fishing trips occurred in total during 2023? _____

Of these, how many trips occurred between August and October (post-spawning)? _____

Enter relevant details here

NIL ☐ If "nil" then skip to the next CMM

Para 5: Was the exploratory fishing for toothfish all conducted within the eight exploratory fishing research blocks (refer L, M, N, O, P, Q, R, and S in Table 1 of CMM14a-2022)?

YES ☐

NO ☐

Table 12: Total Allowable Catch* (Paras 8, 9e, 9f and 9h)

Authorised Vessel	Exploratory fishing block	Post spawning Catch (Aug - Oct)	Non post-spawning Catch
<i>San Aspiring</i>	L		
	M		
	N		
	O		
	P		
	Q		
	R		
	S		
<i>Janas</i>	L		
	M		
	N		
	O		
	P		
	Q		
	R		
	S		

* 40t max per exploratory research fishing block; overall maximum combined catch limit of 240t/yr; 50% max taken outside Aug to Oct.

Table 13: Total Allowable Catch – Effort Limit* (Paras 9a and 9c)

Exploratory fishing block	Total number of Clusters	Number of Sets
L		
M		
N		
O		
P		
Q		
R		
S		

* Conduct at least 3 clusters for each research block, with each cluster no more than 5 sets.



Table 14: Management Measures (Para's 9, 16 and 18)

Protocol	Value	Reference
Other than the 2 vessels named, did any additional vessels fish? (<i>Identify vessel</i>)		Para 13
Number of clusters composed of more than 5 sets		Para 9a
Number of clusters within 10nm of another cluster? (<i>within the same voyage or fishing season</i>)		Para 9b
Number of sets beginning, or ending, deeper than 2,200 m?		Para 9d
Number of sets with more than 6,900 hooks?		Para 9a
Number of clusters with more than 17,250 hooks?		Para 9a
Number of toothfish tagged		Para 16b
Minimum tagging size overlap statistic		Para 16b
Number of occasions where over 250 kg of Deepwater sharks (<i>class Chondrichthyes</i>) were caught within a cluster of sets?		Para 18

Marine Mammals, Seabirds, Turtles, and other Species of Concern

Para 22: Was there any occasion when any of the mitigation methods described in paragraph 21 a) to i) were not employed as appropriate?

YES ☐ *If yes, describe below*
Enter relevant details here

NO ☐

Table 15: Marine Mammals, Seabirds, Turtles, and other Species of Concern (Para 23)

Paragraph 22 information	Value	Reference
Number of Seabird/Marine mammal abundance counts taken during setting		Para 22a
Number of Seabird/Marine mammal abundance counts taken during hauling		Para 22a
% of hooks hauled that were observed for incidental captures		Para 22c
Were set and haul operations video recorded with multi-camera EM system		Para 22d
Number of captures of Marine Mammals		Para 22e
Number of captures of Seabirds		Para 22e
Number of captures of Turtles		Para 22e
Number of captures of other Species of Concern		Para 22e
Number (%) of dead birds retained for ID and necropsy		Para 22 f

Monitoring

Para 24: Was a New Zealand government observer onboard during the exploratory fishing?

YES ☐ *If yes, answer the following question*

- Was there a dedicated assistant available?
YES ☐ NO ☐

NO ☐

Para 25: Has recorded footage of each voyage showing all hauled lines and hooks been provided to the New Zealand Ministry for Primary Industries?

YES ☐

NO ☐

Para 26: Was the vessel equipped with at least 2 tamperproof ALCs meeting SPRFMO standards for VMS reporting?

YES ☐

NO ☐



CMM 14b-2024 Exploratory Trap Fishery in the SPRFMO Convention Area¹

(This CMM is only applicable to the Cook Islands; all other Members and CNCPs proceed to the next CMM).

Objectives

Para 1: Did your vessels conduct exploratory trap fishing for lobster and/or crab pursuant to this CMM during the reporting period ending 30 September 2024?

YES ☐

NO ☒ If "no" move to the next CMM

Details and Specification of Exploratory Fishing Activities (Para 5 and 6)

Para 5: Did all the fishing use the bottom trapping method?

YES ☐ If yes, answer the following question

- Was it all conducted within the exploratory areas specified in Para 5 - Table 1 of this measure?

YES ☐

NO ☐

NO ☐ If no, elaborate

Para 6: Was any fishing carried out in the Kopernik Valley (as per coordinates in Para 6 - Table 2) below depths of 250 metres?

YES ☐ If yes, elaborate

NO ☐

Table 16: Details and Specification of Exploratory Fishing Activities (Paras 5, 8 and 10)

Information	Value	Reference
Total number of Seamounts (or other features) fished		Para 5
The most lines set in a single day		Para 8
The most lines hauled in a single day		Para 8
The most traps used per line on a single day (Lobster fishing)		Para 8
The most traps per line on a single day (Crab fishing below 300m)		Para 8
Was the CPUE limit of 4 Kg per trap applied (and assessed) for the Kopernik Seamount		Para 10
Was the CPUE limit reached, and the Kopernik Seamount closed to lobster fishing		Para 10

Para 9: Did the vessel test alternative trap designs to evaluate gear selectivity?

YES ☐ Enter relevant details here

NO ☐

Para 11: Did the vessel conduct non-extractive test fishing on the Kopernik Seamount?

YES ☐ Enter relevant details here

NO ☐

Para 12: Did any fishing trip on the Kopernik Seamount exceed the 20t TAC for *Jasus caveotum*?

YES ☐ Enter relevant details here

NO ☐

Para 13: Did any lobster fishing occur during the July - September period (including retention onboard while targeting other species)?

YES ☐ Enter relevant details here

NO ☐

¹ The implementation of paragraph 29 (VMS) can be found within CMM 06-2023.

The implementation of paragraph 22 (Data collection) can be found within CMM 02-2022.



Para 14: What was the tonnage of lobster and crab (combined) caught during the fishing year “01 July 2023 to 30 June 2024”? _____

(Note: Unless the amount is 300t or more this amount will carry forward to next report to capture the full “July to June” fishing year as per the CMM)

Para 15: Did the vessel conducting the exploratory fishery provide the Member with weekly reports on its fishing activity?

YES ☐ *Enter relevant details here*

NO ☐

Para 17a: At any time during the exploratory fishing did the stock indicators show sustainability concerns?

YES ☐ *Enter relevant details here*

NO ☐

Para 18: Did the Member ensure that the fishing vessel authorised had experience working within catch limits and used intensive monitoring of all catch?

YES ☐ *Enter relevant details here*

NO ☐

Authorised Vessels

Para 20: Which vessel(s) were authorised and undertook the exploratory trapping?

Enter relevant details here

Marine mammals, seabirds, turtles, and other species of concern

Para 25: Were all the mitigation methods specified in paragraph 25 a)-d) implemented during the exploratory fishing?

YES ☐

NO ☐ *Enter relevant details here*

Para 26: Was the information described in paragraph 26 a)-e) relating to marine mammals, seabirds, turtles, and other species of concern collected?

YES ☐

NO ☐ *Enter relevant details here*

Monitoring & Data Collection

Para 28: Were Cook Islands’ Government observer(s) on board the vessel during the exploratory fishing?

YES ☐ *Enter relevant details here*

NO ☐

Para 29: Was there a video monitoring and recording system installed over the hauling position and was the footage provided to the Cook Islands Government at the end of each voyage?

YES ☐

NO ☐ *Enter relevant details here*

Para 30: was the vessel equipped with tamper proof AIs and reporting VMS every hour?

YES ☐

NO ☐ *Enter relevant details here*



CMM 14e-2023/4 Exploratory Fishing for Toothfish by the European Union in the SPRFMO Convention Area

This CMM is only applicable to the European Union; all other Members and CNCPs proceed to the next CMM.

(Note: CMM 14e-2024 is in effect for Exploratory fishing for the identified fishing seasons during 2024-2026. This report relates firstly to activity during Oct-Nov 2023 (CMM 14e-2023) and then to activity from CMM14e-2024 – with wording/data updates as required.)

Duration, catch and effort limits

Para 6: Did authorised EU vessels engage in exploratory toothfish fishing during the current reporting period from 01 October 2023 to 30 September 2024?

- 1) 2023 Fishing Season: Fishing in the portion between 01 October – *21 November 2023?

*(*reference Decision 16-2023)*

YES ☐

NO ☐

- 2) 2024 Fishing Season: Fishing in the portion between 1 May 2023 and 30 September 2024?

(Note: Activity occurring between 01 October-15 November 2024 will be reported in the next implementation report.)

YES ☐

NO ☐

Para 5: Was all exploratory toothfish fishing conducted within the respective CMM14e-2023 (GVFZ RB) and CMM14e-2024 (GVFZ RB-A/RB-B) Table 1 exploratory fishing research blocks?

YES ☐

NO ☐ *If no, please elaborate*

Table 17: Duration, catch and effort limits

(Given the uniqueness of covering 2 seasons the reported information is separated by fishing season in this report for ease of reference and completion)

2023 Fishing season report (1 October – 21 November 2023)	Value	Reference
Total number consecutive fishing days (Max 60 for 2023)		Para 6
Greenweight catch of Toothfish (tonnes) (Max 75t)		Para 8
Number of hooks used per single set (Max 5000)		Para 9
Total number of sets per annum (Max 120)		Para 9
Number of times shorter lines used due to approach quota limit		Para 10
Minimum depth fished (Between 600-2500m)		Para 11
Maximum depth fished (Between 600-2500m)		Para 11
2024 Fishing season report (1 May – 30 September 2024)	Value	Reference
Total number consecutive fishing days (Max 60 for 2024)		Para 6
Green weight catches of Toothfish (Max 129t – RB-A; 33t RB-B)		Para 8
Number of hooks used per single set (Max 5000)		Para 9
Total number of sets per annum (Max 100)		Para 9
Number of times shorter lines used due to approach quota limit		Para 10
Minimum depth fished (Between 600-2500m)		Para 11
Maximum depth fished (Between 600-2500m)		Para 11

Management Measures

Para 13: Were all hooks uniquely marked indicating the vessel?

YES ☐

NO ☐ *If no, please elaborate*



Authorised Vessels

Para 14: Was the FV *Tronio* used to conduct the exploratory fishing activity?

YES ☐

NO ☐

If NO, what was the name of the vessel that was authorised to undertake fishing pursuant to this CMM and was the Executive Secretary notified?

Enter relevant details here

Table 18: Data collection

2023 Fishing Season report (Oct-Nov)	Value	Reference
Number of toothfish tagged		Para 17
Overlap tagging statistic achieved (%)		Para 18
Were recaptured tagged fish retained?	YES ☐ NO ☐	Para 19
2024 Fishing Season report (May-Sept)	Value	Reference
Number of tooth fish tagged		Para 17
Overlap tagging statistic achieved (%)		Para 18
Were recaptured tagged fish retained?	YES ☐ NO ☐	Para 19

Marine Mammals, Seabirds, and other Species of Concern

Para 21: Was there any occasion when any of the mitigation methods described in paragraphs 21 a) to k) were not utilized when appropriate?

YES ☐ *If yes, please elaborate*

NO ☐

Table 19: Mitigation measures* (Para 21)

Protocol	Value	Reference
1) Seabird bycatch mitigation measures:		Para 21 a-g
Did the vessel release weights before line tension occurred	YES ☐ NO ☐	Para 21a
Was offal dumped or discards while fishing lines were being set or hauled	YES ☐ NO ☐	Para 21b
Were the other discarding protocols followed	YES ☐ NO ☐	Para 21c,d,e
Were two bird scaring devices deployed when setting lines	YES ☐ NO ☐	Para 21f
Was at least one bird exclusion device used	YES ☐ NO ☐	Para 21f
Was the trigger level of 0.01 birds per/1000 hooks exceeded?	YES ☐ NO ☐	Para 21g
2) Seal and Cetacean bycatch mitigation measures:		Para 21h
Was there any seal or cetacean bycatch/entanglement?	YES ☐ NO ☐	Para 21h
If yes, was the one-hour observation period conducted prior to more hauls	YES ☐ NO ☐	Para 21h
3) Shark, skate and macrourid bycatch mitigation measures:		Para 21 i-k
Was the “move-on” provision relating catches of more than 4 individuals from <i>Somniosidae</i> , <i>Lamnidae</i> , <i>Cetorhinidae</i> or <i>Alopiidae</i> family triggered?	YES ☐ NO ☐	Para 21i
Was the “move-on” provision relating to catches of more than 2 individuals of any one of these families of sharks triggered?	YES ☐ NO ☐	Para 21i
Was the “move-on” provision relating to skate bycatch triggered?	YES ☐ NO ☐	Para 21j
Was the “move-on” provision relating to <i>Macrourus</i> Bycatch triggered?	YES ☐ NO ☐	Para 21k

**If necessary, add any clarification/explanation of exceptions to mitigation measures here (or add separate attachment):*



Table 20: Data collection for marine mammals, seabirds, and other species of concern (Para 22)

2023 Fishing Season:	Value	Reference
Number of Seabird/Marine mammal abundance counts taken during the setting of each line		Para 22a
Number of Seabird/Marine mammal abundance counts taken during the hauling of each line		Para 22a
% of hooks hauled that were observed for marine mammal, seabird and other sp interactions		Para 22b
Number of captures of Marine Mammals		Para 22c
Number of captures of Seabirds (excluding collisions)		Para 22c
Number of captures of Sharks		Para 22c
Number of captures of Skates		Para 22c
Number of captures of other Species of Concern		Para 22c
Number of Seabirds collisions with vessel		Para 22c
Number of Seabirds released alive		Para 22c
Number of dead birds retained		Para 22d
2024 Fishing Season:	Value	Reference
Number of Seabird/Marine mammal abundance counts taken during the setting of each line		Para 22a
Number of Seabird/Marine mammal abundance counts taken during the hauling of each line		Para 22a
% of hooks hauled that were observed for marine mammal, seabird and other sp interactions		Para 22b
Number of captures of Marine Mammals		Para 22c
Number of captures of Seabirds (excluding collisions)		Para 22c
Number of captures of Sharks		Para 22c
Number of captures of Skates		Para 22c
Number of captures of other Species of Concern		Para 22c
Number of Seabirds collisions with vessel		Para 22c
Number of Seabirds released alive		Para 22c
Number of dead birds retained		Para 22d

VME

Para 23: Was there evidence of any *VME encounter during fishing activities within either Reporting Period?
2023 Fishing Season: YES ☐ NO ☐ **2024 Fishing Season:** YES ☐ NO ☐

**If yes elaborate- reference CMM 03 – Encounters with Potential VME*

Monitoring

Para 24: Was a scientific observer onboard during the exploratory fishing?

2023 Fishing Season:
 YES ☐ *if yes, please answer the question below*
 • Was there a dedicated assistant available? YES ☐ NO ☐
 NO ☐

2024 Fishing Season:
 YES ☐ *if yes, please answer the question below*
 • Was there a dedicated assistant available? YES ☐ NO ☐
 NO ☐

Para 27: Did the vessel use a tamper-proof electronic monitoring system (CCTV) to record sensor and video data, overlaid with accurate GPS and timestamp on video records, to monitor all setting and hauling activity?
2023 Fishing Season: YES ☐ NO ☐ **2024 Fishing Season:** YES ☐ NO ☐

Environmental Data Collection

Para 28: Did the vessel record additional environmental data including *in situ* imagery of seabed species and habitats, and CTD (conductivity, temperature, depth) sensors deployed on longlines?
2023 Fishing Season: YES ☐ NO ☐ **2024 Fishing Season:** YES ☐ NO ☐



CMM 14f-2024 Exploratory Fishing for Toothfish by Australia in the SPRFMO Convention Area¹

(This CMM is only applicable to Australia; all other Members and CNCPs proceed to the next CMM).

Did your vessels engage in exploratory toothfish fishing during the reporting period up to 30 September 2024?

YES ☐ *If yes, answer the questions below*

NO ☐ *If no, move to the next CMM*

Details/Specification/Duration/Effort of Exploratory Fishing

Para 5: Was the exploratory fishing for toothfish conducted within the Macquarie Ridge Continuation Research Block (MRC RB) (coordinates outlined in Table 1 of this CMM)?

YES ☐

NO ☐ *If no, please explain*

Para 10: Was fishing ceased in 2024 due to:

i) the TAC being caught?

YES ☐ *If yes, please elaborate*

NO ☐

ii) the bycatch limits being reached?

YES ☐ *If yes, please elaborate*

NO ☐

Para 7: How many exploratory toothfish fishing trips occurred in total during 2024?

Of these trips, how many occurred during April, August, or September?

Para 8: What was the total catch of Toothfish for all trips combined?

Para 9: What was the maximum number of hooks per line used for fishing?

Para 12: What was the minimum depth fished?

What was the maximum depth fished?

Para 18: Which vessel(s) undertook the exploratory fishing?

Management Measures

Para 11: Did the catches approach the Limit? Was it necessary to invoke measures to constrain the retained catch? *(If yes, identify the measures taken.)*

YES ☐

NO ☐

Para 16: Did any line set positions overlap previous line setting positions during that fishing year?

YES ☐ *(If yes, elaborate)*

NO ☐

Para 17: Were all lines shall be set to ensure that each set was at least 1 nautical mile from all other sets that fishing year?

YES ☐

NO ☐ *(If no, elaborate)*

¹ The implementation of paragraph 21 (Data collection) can be found within CMM 02-2022 and paragraph 29 (VMS) within CMM 06-2023.



Para 22: Was a minimum tagging size overlap statistic of 60% once 30 or more toothfish have been successfully released with tags achieved? *(If yes, what was the overlap statistic achieved?)*

YES ☐NO ☐

Marine Mammals, Seabirds, and other Species of Concern

Para 23: Was the information outlined in paragraph 23.a-e regarding marine mammals, seabirds, turtles, and other species of concern collected as required?

YES ☐NO ☐

Data collection on vulnerable marine ecosystems (VMEs)

Para 24-25: Was the information outlined in paragraphs 24 (*re: Bottom Fishing measure CMM 03-2023 and data to assess VME encounters*) and additionally the requirements outlined in 25.a-d, collected as required?

YES ☐NO ☐

Marine Mammals, Seabirds, and other Species of Concern

Para 26: Was there any occasion when any of the mitigation methods described in paragraphs 26(a-g) were not utilized when/where appropriate?

YES ☐ *If yes, please elaborate*NO ☐

Table 21: Mitigation measures* (Para 26)

**If necessary, add any clarification/explanation of exceptions to mitigation measures here (or add separate attachment).*

Protocol	Value	Reference
A) Non-target fish mitigation measures:		Para 26 a
Did precautionary catch limits for all bycatch species meet and exceed CCAMLR CM 33-03 (2023) and SPRFMO CMM 02-2022 (Data Standards) Annex 14?	YES ☐ NO ☐	Para 26a,b
Was the <i>Macrourus</i> spp. limit of 16% of the catch limit for <i>Dissostichus</i> spp. exceeded?	YES ☐ NO ☐	Para 26.a.i
Was the other species limit of 16% of the catch limit for <i>Dissostichus</i> spp. exceeded?	YES ☐ NO ☐	Para 26.a.ii
Did fishing cease due to bycatch limits being reached?	YES ☐ NO ☐	Para 26a
B) Chondrichthyans bycatch mitigation measures:		Para 26b
Were precautionary bycatch limits and gear restrictions, including ban on the use of wire traces, in place?	YES ☐ NO ☐	Para 26b
Was the limit on Skates and rays: 5% of the catch limit for <i>Dissostichus</i> spp. exceeded?	YES ☐ NO ☐	Para 26b.i
Was the limit on other species: 16% of the catch limit for <i>Dissostichus</i> spp. exceeded?	YES ☐ NO ☐	Para 26b.ii
Was there a ban on retention of species listed on CMM 02-2022 Annex 14 in place and respected?	YES ☐ NO ☐	Para 26b.iii
C) Seabird bycatch mitigation measures:		Para 26c
Did mitigation measures meet the requirements of CCAMLR CM 25-02 (2023) and meet and exceed SPRFMO Annex 1 CMM-09 2017	YES ☐ NO ☐	Para 26c
During Longline fishing operations, were the mitigation measures outlined in 29.c(i-viii) in place and respected?	YES ☐ NO ☐	Para 26c (i-viii)
Where there any issues identified with the mitigation measures?	YES ☐ NO ☐	Para 26c (i-viii)



Mammal bycatch mitigation measures:		Para 26 d-g
D. Did vessel operators avoid interactions with species such as orcas and sperm whales?	YES ☐ NO ☐	Para 26d
E. Did vessels take all reasonable steps to avoid losing any gear or non-biodegradable items from the boat?	YES ☐ NO ☐	Para 26e
F. Were wildlife interaction reports required to be completed and submitted within 24 hours of an interaction with a protected species? (The number reports received: ____)	YES ☐ NO ☐	Para 26f
G. Did any mammal interaction trigger an evaluation of fishing strategy and location, including a potential move-on rule?	YES ☐ NO ☐	Para 26g

Monitoring

Para 27: Was a scientific observer onboard during the exploratory fishing? YES ☐ <i>If yes, answer the following question</i> • Was there a dedicated assistant onboard as well? YES ☐ NO ☐ NO ☐
Para 29: Was the vessel equipped with a tamperproof ALC meeting SPRFMO standards for VMS reporting? YES ☐ NO ☐
Para 30: Was the vessel equipped with a tamper-proof EM system to monitor all setting and hauling activities that recorded sensor and video data overlaid with accurate GPS and timeline stamp on the video record? YES ☐ NO ☐



CMM 14g-2024 Exploratory Hapuka Fishery by the Cook Islands in the SPRFMO Convention Area¹

(This CMM is only applicable to the Cook Islands; all other Members and CNCPs proceed to the next CMM).

Objectives

Para 1: Did your vessels conduct exploratory dropline and/or jig fishing for *Polyprion oxygeneios* (hapuka) from the fishery effective date of 04 April 2024 to 30 September 2024 (end of the reporting period)?

YES ☐

NO ☐ If "no" move to the next CMM

Details and Specification of Exploratory Fishing Activities (Para 5 and 6)

Para 5: Was all fishing for Hapuka undertaken via dropline and/or jigging methods?

YES ☐ If yes, answer the following question

- Was all fishing conducted within the exploratory boxed areas specified in Para 5/Table 1?

YES ☐

NO ☐

NO ☐ If no, elaborate

Para 6: Was all fishing in the Kopernik Valley conducted without: i) the use of bottom weights and ii) contacting with the seabed?

YES ☐

NO ☐ If no, elaborate

Para 8: Was the green weight TAC of 500t *Polyprion oxygeneios* or 100t threshold of retained teleost and cephalopod bycatch reached?

YES ☐

NO ☐

Specify total catch amounts:

Para 9: Did "per seamount" catches exceed 15t per trip or 25t per year thresholds?

YES ☐ Enter relevant details here

NO ☐

Para 11: Did the vessel test alternative dropline configurations and jig types to evaluate gear selectivity?

YES ☐ Enter relevant details here

NO ☐

Table 22: Details and Specification of Exploratory Fishing Activities (Paras 9 and 10)

Information	Value	Reference
Total number of Seamounts (or other features) fished		Para 9
The most droplines deployed at any time		Para 10
The most hooks used per dropline		Para 10
The most jigging lines deployed at any time		Para 10
The most jigs or hooks used per line while jigging		Para 10
The number of weekly reports provided to the Cook Islands		Para 12
The number of daily reports (after 15t trip limit reached) provided to Cook Islands		Para 12

Para 14: Did catches trigger the closure of fishing for a specific seamount and/or fishing for the year?

YES ☐ Enter relevant details here

NO ☐

¹ The implementation of paragraph 29 (VMS) can be found within CMM 06-2023.

The implementation of paragraph 22 (Data collection) can be found within CMM 02-2022.



Para 14a: At any time during the exploratory fishing did the stock indicators show sustainability concerns?

YES ☐ *Enter relevant details here*

NO ☐

Para 15: Did the Member ensure that the fishing vessel authorised had experience working within catch limits and used intensive monitoring of all catch?

YES ☐ *Enter relevant details here*

NO ☐

Authorised Vessels

Para 17: Which vessel(s) were authorised and undertook the exploratory fishing?

Enter relevant details here

Marine mammals, seabirds, turtles, and other species of concern

Para 24: Were all the mitigation methods specified in paragraph 24 a)-d) implemented during the exploratory fishing?

YES ☐

NO ☐ *Enter relevant details here*

Para 25: Was the information described in paragraph 25 a)-e) relating to marine mammals, seabirds, turtles, and other species of concern collected?

YES ☐

NO ☐ *Enter relevant details here*

Monitoring & Data Collection

Para 27: Were Cook Islands' Government observer(s) on board the vessel during the exploratory fishing?

YES ☐ *Enter relevant details here*

NO ☐

Para 29: Was there a video monitoring and recording system installed over the hauling position and was the footage provided to the Cook Islands Government at the end of each voyage?

YES ☐

NO ☐ *Enter relevant details here*

Para 30: Was the vessel equipped with tamper proof AIs and reporting VMS every hour?

YES ☐

NO ☐ *Enter relevant details here*



CMM 15-2016 Vessels Without Nationality

(Non-mandatory: Members, CNCs, and non-parties are encouraged to take the following measures or actions.)

Para 2: During the reporting period did you share any information about the activities of vessels without nationality, and/or where appropriate, enact domestic legislation, to prevent and deter vessels without nationality from engaging in fishing or fishing related activities in the Convention Area?

YES ☐ If yes, please provide additional details
Enter relevant details here

NO ☒

Para 3: During the reporting period did you take any actions, in accordance with international law, against vessels without nationality? (e.g. by prohibiting landing, transshipment or access to port services).

YES ☐ If yes, please provide additional details
☒ National Fisheries Law in article 213 considers any bonding to vessels without nationality as a Serious offense and it is subject to sanctions. There has been not identified any activity related to vessels without nationality during the reporting period.

NO ☐



CMM 16-2024 the SPRFMO Observer Programme¹

Observer Program Accreditation *(Information Collection)*

Do you currently have an accredited SPRFMO Observer Programme (*i.e., the observer program has been evaluated by the Accreditation Evaluator and the Commission has granted accreditation*)?

YES ☐ *If applicable, continue to General Rules Section below*

NO ☒ *If NO respond to Paragraph 24*

Evaluation of observer programmes *(Information Collection)*

Para 24: Do you intend to pursue accreditation under the SPRFMO OP?

YES ☐ *If yes, please indicate when you intend to begin the accreditation process?*

☐ **2024** (*We are currently being assessed by the SPRFMO OP Accreditation Evaluator*)

☐ **2025** (*Note: From 1 January 2027 Members and CNCPs shall only deploy observers from national observer programmes or service providers accredited under the SPRFMO.*)

☒ **Other timeframe** (*please specify*): 2026

NO ☐ **We have no plans to deploy observers in the SPRFMO Area*

We have talked to the Secretariat about the processes of accreditation of one Observer Program that has presented their interest in past years. We still do not have any vessels fishing in the Convention Area, therefore, it has been a requirement that could not be met by our Observers' Programmes. We will continue working with the Secretariat for accreditation during 2025.

**Comments:*

NOTE: Complete the following sections if you had vessels fishing for fisheries resources (including exploratory) in the Convention Area during the reporting period for which a minimum level of observer coverage applies (Para 3), otherwise proceed to the next CMM.

General Rules

Para 2: Did you deploy any observers on vessels in the Convention Area (to facilitate the collection of verified scientific data and additional information related to fishing activities and its impacts on the ecosystem)?

YES ☐

NO ☒ *If NO, Skip to the next CMM; no further information required in this section*

Para 6: Have you ensured that that observers from your national observer programmes or alternative programme have been granted their rights and perform their duties as set out in Annex 1 of the CMM?

YES ☐

NO ☐

Para 7: Have you ensured that your owners and fishing operators, vessel captains, officers, and vessel crews:

- respect the rights of observers set out in Annex 1 of the CMM?

YES ☐

NO ☐

- comply with the standards and duties set out in Annex 2 of the CMM?

YES ☐

NO ☐

¹ The implementation of paragraphs 3 (Observer coverage) and 13 (Levels of coverage) are within the relevant fishery CMM. The implementation paragraph 45 (Data collection) can be found within CMM 02-2022

The implementation of paragraph 8 (independent and impartial observers) and paragraphs 24-44 (Evaluation of observer programmes) shall be assessed by the SPRFMO Observer Programme Accreditation Evaluator



Deployment of Observers

Para 10: Were the observers deployed sourced from a 1) national observer programmes¹ or 2) SPRFMO accredited programmes?

Specify:

Para 11: Did you deploy any observers on board vessels flagged to another Member or CNCP?

YES ☐ *If yes, please list the others Members/CNCPs involved*

Enter relevant details here

NO ☐

Para 12: Did any of your observers refuse a deployment onboard a SPRFMO authorized fishing vessel?

YES ☐ *If yes, specify reason and whether documentation was provided to the Secretariat:*

NO ☐

Levels of Coverage

Para 14: Did any of your scientific research vessels fish for research purposes in the Convention Area?

YES ☐ *If yes, please answer the question below*

- Did the scientific personnel collect and provide the information specified in Annex 7 of CMM 02-2022 (Data Standards) in the manner set forth in that CMM?

YES ☐ NO ☐

NO ☐

Reporting

Para 16 & 45: Did you include a brief overview of your national observer programmes (or service providers) as a component of your Annual National Report submitted to the Scientific Committee?

YES ☐ *If yes, please answer the question below*

- Did you document the methods used to allocate observers on fishing vessels to meet observer coverage requirements?

YES ☐ NO ☐

NO ☐

¹ Noting that Members and CNCPs may continue using their own non-accredited national observer programme or service provider to meet observer coverage requirements until 31 December 2026.



CMM 17-2022 Fishing Gear and Marine Plastic Pollution in the SPRFMO Convention Area

Applicability

Did any of your flagged vessels operate in the SPRFMO Convention Area during the reporting period?

YES ☐

NO ☒ *If no, skip to the next CMM*

Retrieval of Abandoned, Lost or Otherwise Discarded Fishing Gear

Para 1: Did you ensure that paragraphs 1a to 1f are implemented by your vessels:

Enter relevant details here

Para 3: Did any of your vessels abandon, lose, or otherwise discard any fishing gear?

YES ☐

Number of Notifications submitted: _____

NO ☐

Did any of your vessels retrieve any abandoned, lost or otherwise discarded fishing gear?

YES ☐

Number of Notifications submitted: _____

NO ☐

Marine Plastic Pollution

Para 4: Are vessels flying your flag prohibited from discharging into the sea all plastics, including but not limited to synthetic ropes, synthetic fishing nets, plastic garbage bags and incinerator ashes from plastics?

YES ☐

NO ☐

Enter relevant details here

Other Marine Pollution *(Note: Non-Mandatory provisions)*

Para 6: Have you implemented appropriate onboard storage and prohibited your fishing vessels operating within the SPRFMO Convention Area from discharging: oil or fuel products or oily residues into the sea; other garbage, including fishing gear, food waste, domestic waste, incinerator ashes and cooking oil; and sewage, except as would be permitted under applicable international instruments.

YES ☐

NO ☐

Para 7: Have you requested that vessels flying your flag inform you about any ports, in countries that are Party to the annexes of MARPOL, which do not have adequate port reception facilities for MARPOL wastes?

YES ☐

NO ☐

Para 8: During this reporting period did you undertake research into marine pollution related to fisheries in the SPRFMO Convention Area to further develop and refine measures to reduce marine pollution?

YES ☐ *If yes, continue to answer question below*

- Was information derived from these efforts submitted to the SPRFMO Scientific Committee and/or the Compliance and Technical Committee?

YES ☐ NO ☐

NO ☐

Para 9: Have you conducted training and awareness programmes for the crew and master of fishing vessels flying your flag regarding the impacts of marine pollution and operational practices to eliminate marine pollution caused by fishing vessels?

YES ☐

NO ☐



CMM 18-2024 Management of the Jumbo Flying Squid Fishery in the SPRFMO Convention Area¹

General Provisions

Para 1: Did your flagged vessels engage in, or intend to engage in, the *Dosidicus gigas* (jumbo flying squid) fishery in the Convention Area? (Includes catcher and carrier vessels)

YES ☐

If yes, complete the following tables and questions on this CMM

NO ☒

If no, skip to the next CMM

Para 2: Did any of your flagged vessels engage in the *Dosidicus gigas* fishery in the Convention Area without being duly authorised pursuant to Article 25 of the Convention and included on the Commission Record of Vessels?

YES ☐

If yes, please provide details

NO ☐

Management of Jumbo Flying Squid

Para 3: (Only applies to China, Korea and Chinese Taipei)

Were the vessel numbers and/or gross tonnage limitations set out in Table 1 of the measure exceeded? (Elaborate as necessary)

YES ☐

NO ☐

(Note: Para 4, 5, and 6 are not applicable to Coastal States)

Para 4: (Members with no squid vsIs on RoV as of 31-12-2020 but have a historic Record fishing GIS)

Did you provide the Executive Secretary an historic record of GIS fishing in the Convention Area?

(Elaborate as necessary)

YES ☐

NO ☐

Para 5: (Members with no squid vsIs on RoV as of 31-12-2020 but have a historic Record fishing GIS)

Did you develop a jumbo flying squid jigging fishery during the reporting period? *(Elaborate as necessary)*

YES ☐

NO ☐

Para 6: (Members with no squid vsIs on RoV as of 31-12-2020 and do **not** have a historic Record fishing GIS)

Did you submit a proposal to the Scientific Committee at least 90 days in advance of the next Scientific Committee meeting? *(Elaborate as necessary)*

YES ☐

NO ☐

¹ The questions for this CMM are specific to the Jumbo Flying Squid fishery. The implementation of the following paragraphs can also be found more broadly (all species/activities) within the corresponding CMMs: paragraphs 2 (vessel duly authorised) and 12 (active vessels)-Reference: CMM 05-2023; paragraphs 9 (Data collection) and 14 (annual reports)-Reference: CMM 02-2022; and paragraph 11 (VMS) Reference: CMM 06-2023.



Para 7: *(Developing Coastal States – Applicable to Cook Islands, Panama, Ecuador, Chile, Peru, and Vanuatu)*

Did you develop a GIS fishery in the Convention Area and provide notification of the number of vessels and gross tonnage involved? *(Elaborate as necessary)*

YES ☐

NO ☐

Data Collection and Reporting

Para 9: Have you collected, verified, and provided all required data to the Executive Secretary in accordance with CMM 02-2022 (Data standards) using the appropriate templates?

YES ☐

NO ☐ *If no, please explain*

Monitoring and Control

Para 11: Have you implemented the Commission VMS requirements (CMM 06-2023) for all flagged vessels engaged in the *Dosidicus gigas* fishery?

YES ☐

NO ☐ *If no, please explain*

Para 12: *(2 components)*

Have you provided the Executive Secretary a list of vessels **authorised to fish** in the *Dosidicus gigas* fishery?

YES ☐

NO ☐

Have you notified the Executive Secretary of the vessels that have **actively fished** or **engaged in transshipment** in the *Dosidicus gigas* fishery in the Convention Area within 30 days of the end of the calendar year?

YES ☐

NO ☐

Scientific Committee Reports

Para 14: Did you submit your annual report including your *Dosidicus gigas* fishery information to the Executive Secretary at least one month before the most recent Scientific Committee meeting?

YES ☐

NO ☐ *If no, please explain*

Para 15: Did you conduct any research or stock assessments for *D. gigas* during the reporting period?

YES ☐ *If yes, please answer the question below*

- Was it submitted to the Scientific Committee?

YES ☐ NO ☐

Enter relevant details here

NO ☐



Observer coverage

Table 23: Levels of Coverage (Para 16)

Indicate the level of observer coverage on Squid Jigging vessels during the 2023-24 reporting period? (Note: Broken into 2 components during transition from CMM 16-2023 to the new requirements of CMM 16-2024)	
October 2023 – April 2024 (Requirements: Minimum coverage of 5 full time at-sea observers OR 5% of fishing days – complete one below)	
Number of full-time at-sea observers (Oct 2023-April 2024)	Percentage of fishing days observed (Oct 2023-April 2024)
May 2024 – September 2024 (Target 5% of fishing days but with exclusion for those in the 2022 fishery who did not meet 5% to achieve 2% by Sept 2025)	
Percentage of fishing days observed (May-Sept 2024)	
Comments:	

Special Requirements of Developing States

Para 19: Did you provide financial, scientific and/or technical assistance to enhance the ability of developing States and territories and possessions to implement this CMM?
YES ☐ If yes, please describe the assistance
NO ☐



CMM 19-2021 Marking and Identification of Fishing Vessels

Were authorised vessels flying your flag operating in the Convention Area?

YES ☐

NO ☒

Complete the following questions only if you had vessels operating in the Convention Area:

Para 1: Were your authorised vessels, operating in the Convention Area, marked in such a way that they can be readily identified, with markings prominently displayed at all times consistent with the specifications as outlined in Para 1, points a,b,c?

YES ☐

NO ☐ *If no, elaborate.*

Para 2: Was the placement of the markings as high as possible above the waterline on both sides and placed so as not to be obscured in part or in full by the fishing gear (stowed or in use) and not to extend below the waterline at any time?

YES ☐

NO ☐ *If no, elaborate.*

Para 3:

Did the vessel markings for the international Radio Call Sign (IRCS) meet the technical specifications outlined in Paragraph 3, points a) through l) inclusive?

YES ☐

NO ☐ *If no, elaborate.*

Para 4: Have you ensured that your authorised vessels only have external markings as identified and permitted in this CMM?

YES ☐

NO ☐ *If no, please explain*

Para 5: Have you provided the marking and identification information for your authorised vessels to the Executive Secretary as part of the information required for the Commission Record of Fishing Vessels under CMM 05-2023 (Record of Vessels)?

YES ☐

NO ☐ *If no, please explain*

**PLEASE RETURN YOUR COMPLETED IMPLEMENTATION REPORT TO THE SECRETARIAT
NO LATER THAN WEDNESDAY, 13 NOVEMBER 2024.**

THANK–YOU!