

South Pacific Regional Fisheries Management Organisation

COMM-03-WP-07-V5

CMM 3.XX

Conservation and Management Measure for the Regulation of Transshipment and Other Transfer Activities

The Commission of the South Pacific Regional Fisheries Management Organisation,

Recalling that Article 1(1)(o) of the Convention defines ‘transshipment’ as the unloading of all or any of the fishery resources or fishery resource products derived from fishing in the Convention Area on board a fishing vessel to another fishing vessel either at sea or in port;

Recognizing that transshipment at sea is a common global practice, but that unregulated and unreported transshipment of catches of fishery resources, in particular on the high seas, contributes to distorted reporting of catches of such stocks and supports illegal, unreported and unregulated (IUU) fishing in the Convention Area;

Recognizing the importance of adequately regulating, monitoring and controlling transshipment at sea to contribute to combating IUU fishing activities, and that States should take all necessary measures to ensure that vessels flying their flag do not engage in transshipment of fish caught by fishing vessels engaged in IUU fishing through adequate regulation, monitoring and control of such transshipment of fish;

Noting that Article 18 (3)(f) and (h) of the *Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks* requires flag States to adopt measures to regulate transshipment on the high seas to ensure that the effectiveness of conservation and management measures is not undermined, and port States to adopt regulations to prohibit landings and transshipments where the catch has been taken in a manner which undermines the effectiveness of regional conservation and management measures on the high seas;

Recalling articles 25(1)(d), 26(2)(a) and 27(1)(c) of the Convention, which prescribe, *inter alia*, that Members of the Commission shall take all necessary measures to ensure that fishing vessels flying its flag land or tranship fishery resources caught in the Convention Area in accordance with standards and procedures adopted by the Commission;

Adopts the following conservation and management measure in accordance with Article 8 of the Convention:

General Provisions for All Vessels Engaged in Fishing in the Convention Area

1. For the purposes of this CMM, “competent authorities” means the authorities of the Member or CNCP under whose flag the vessel is operating.

2. Transshipments at sea and in port shall only be undertaken between vessels included in the Commission Record of Vessels.
3. At sea transfer of fuel, crew, gear or any other supplies between two vessels in the Convention Area shall only be undertaken between vessels included in the Commission Record of Vessels.

Transshipments of *Trachurus murphyi* and demersal species caught in the Convention Area

4. The competent authorities of both the unloading and receiving fishing vessels shall notify the Secretariat of each transshipment of *Trachurus murphyi* and demersal species caught in the Convention Area, regardless of where the transshipment takes place, at least [24][36] hours before the estimated time of such activities. This notification must include the relevant information available regarding the transshipment operation, including the estimated date and time, anticipated location, fishery, and information about the vessels involved, in accordance with ~~paragraphs 2(a) — (l) of Annex 12 of CMM 2.02A~~. The competent authorities may authorize the vessel operator to provide notification directly to the Secretariat. The Secretariat shall make this information available on the Members' section of the Commission website as soon as possible.
5. ~~If, in accordance with the applicable CMMs~~ an observer¹ is on board the unloading or receiving vessel, the observer shall monitor the transshipment activities.~~Members and CNCPs shall ensure that [100][50][10] percent of transshipments at sea of *Trachurus murphyi* or demersal species caught in the Convention Area, regardless of where the transshipment takes place, shall only be undertaken when an observer¹ is embarked on the unloading fishing vessel or the receiving fishing vessel.]~~
6. An observer monitoring transshipment under paragraph ~~55~~ shall complete a transshipment logsheet, as set out in Annex ~~BA of this CMM~~, to verify the quantity and species of the fishery resources being transhipped, and shall provide a copy of the logsheet to the competent authorities ~~and to the master~~ of the observed vessel. The competent authorities of the observed vessel shall submit the observer data of the transshipment logsheet to the Secretariat, no later than 15 days from debarkation of the observer.
7. For the purpose of verifying the quantity and species of the fishery resources being transhipped, and in order to ensure that proper verification can occur, the observer on board shall have full access to the observed vessels ~~involved~~, including crew, gear, equipment, records² and fish holds.

¹ Until such time as the Commission implements an observer programme, the term 'observer' means a suitably qualified person with training in specialized sampling techniques and environmental observations who has been designated as a fisheries observer under a Member's or CNCP's observer programme.

² This includes electronic records.

8. The competent authorities of the unloading fishing vessel and the receiving fishing vessel shall notify all the operational details to the Secretariat, as specified in Annex ~~12 CB of CMM 2.02~~, no later than 7 days after the transshipment is carried out. ~~The competent authorities of the observed vessel shall include, along with the information set forth above, the observer data of the transshipment logsheet.~~ The competent authorities may authorize the vessel operator to provide this information directly to the Secretariat by email; should the Secretariat require any clarification, those requests shall be directed to the competent authorities of the relevant vessel. The Secretariat shall make a summary of this information available on the Members' section of the Commission website..
- ~~9. Members and CNCPs shall report on transshipment activities annually in accordance with the guidelines in Annex B and in conjunction with the information submitted to the Secretariat under paragraph 1(e) of CMM 2.02.~~

Review

9. This CMM shall enter into force 30 days after the conclusion of the annual Commission meeting in 2016.
10. This ~~CMM measure~~ shall be reviewed at the regular meeting of the Commission in 2018~~76~~. Such review shall take into account, *inter alia*, the latest advice of the Compliance and Technical Committee with respect to the effectiveness of this CMM in providing the Commission with information about transshipments and other transfer ~~operations activities~~ and supporting monitoring, control, and surveillance activities; appropriate levels of observer coverage; and the scope of this CMM.

Annex A

Transshipment Notification

Members and CNCPs shall provide the following information in accordance with paragraph 4:

Details of unloading vessel

- a. Name of vessel
- b. Registration number
- c. Radio call sign
- d. Vessel flag state
- e. IMO number/ IHS Fairplay number (if applicable)
- f. Name and nationality of vessel master

Details of receiving vessel

- g. Name of vessel
- h. Registration number
- i. Radio call sign
- j. Vessel flag state
- k. IMO number/ IHS Fairplay number (if applicable)
- l. Name and nationality of vessel master

Annex ~~BA~~

Transshipment Logsheet

The following information shall be provided by the observer monitoring transshipment, according to paragraphs ~~55~~ and ~~66~~.

FLAG STATE OF THE UNLOADING FISHING VESSEL	-
PERIOD OF THE AUTHORISATION ISSUED BY THE FLAG STATE OF THE UNLOADING FISHING VESSEL (DD/MM/YYYY – DD/MM/YYYY)	-
DATE OF INCLUSION ON THE COMMISSION RECORD OF VESSELS (DD/MM/YYYY)	-

[FLAG STATE OF THE RECEIVING FISHING VESSEL	-
PERIOD OF THE AUTHORISATION ISSUED BY THE FLAG STATE OF THE RECEIVING FISHING VESSEL (DD/MM/YYYY – DD/MM/YYYY)	-
DATE OF INCLUSION ON THE COMMISSION RECORD OF VESSELS (DD/MM/YYYY)]	-

PORT STATE, NAME OF PORT and PORT CODE³³(IF APPLICABLE)	-
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I. Details of the unloading fishing vessel

Name of vessel	
Registration number	
Radio call sign	
Vessel flag State	
IMO number / IHS Fairplay number (if applicable)	
Master's name and nationality	

II. Details of the receiving fishing vessel

Name of vessel	
Registration number	

³³~~United Nations Code for Trade and Transport Locations (UN/LOCODE).~~

Radio call sign	
Vessel flag State	
IMO number / IHS Fairplay number (if applicable)	
Master's name and nationality	

III. Transshipment operation

Date and time of commencement of transshipment (UTC)					
Date and time of completion of transshipment (UTC)					
If transshipment at sea: Position (nearest 1/10th degree) at commencement of transshipment If transshipment in port: Name, and country, and code ⁴ of port					
If transshipment at sea: Position (nearest 1/10th degree) at completion of transshipment					
Description of product type by species (e.g. whole, frozen fish in 20 kg cartons)					
Species		Product type			
Species		Product type			
Species		Product type			
Species		Product type			
Species		Product type			
Species		Product type			
Number of cartons, net weight (kg) of product, by species.					
Species		Cartons		Net weight	
Species		Cartons		Net weight	
Species		Cartons		Net weight	
Species		Cartons		Net weight	
Species		Cartons		Net weight	
Species		Cartons		Net weight	
Total net weight of product transhipped (kg)					
Hold numbers in reefer vessel in which product is stowed					
Destination port and country of receiving fishing vessel					
Estimated arrival date estimate ⁴					
Estimated landing date estimate ⁴					

⁴ United Nations Code for Trade and Transport Locations (UN/LOCODE).

IV. Observations (if applicable)

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V. Verification

Name of observer	
Authority	
Signature and stamp	

Annex **CB**

Transshipment information to be reported ~~annually by Members and CNCPs~~

~~In addition to complying with the Standard for Transshipment Data (at Annex 12 of the SPRFMO Data Standards), p~~In accordance with paragraph 9, no later than 7 days after a transshipment is carried out prior to each Commission meeting, each the competent authorities of the Member and CNCP whose vessels have undertaken transshipment activities covered by this CMM in the last 12 months~~involved~~ shall report to the ~~Secretariat~~Commission:

Details of unloading vessel

- a. Name of vessel
- b. Registration number
- c. Radio call sign
- d. Vessel flag state
- e. IMO number/ IHS Fairplay number (if applicable)
- f. Name and nationality of vessel master

Details of receiving vessel

- g. Name of vessel
- h. Registration number
- i. Radio call sign
- j. Vessel flag state
- k. IMO number/ IHS Fairplay number (if applicable)
- l. Name and nationality of vessel master

Details of transshipment operation

- a. Date and time of commencement of transshipment (UTC)
- b. Date and time of completion of transshipment (UTC)
- c. If transhipped in port:
 - 1. Port State, name of port and port code⁵
- d. If transhipped at sea:
 - 1. Position (nearest 1/10th degree) at commencement of transshipment (decimal)
 - 2. Position (nearest 1/10th degree) at completion of transshipment (decimal)
- e. Hold numbers in receiving vessel in which product is stowed
- f. Destination port of receiving vessel
- g. Arrival date estimate
- h. Landing date estimate

Details of fishery resources transhipped

⁵ International Standard Classification of Fishing Gears (ISSCFG). This information only needs to be provided by the unloading vessel.

i. Species transhipped

1. Description of fish, by product type (e.g., whole, frozen fish)
2. Number of cartons and net weight (kg) of product, by species
3. Total net weight of product transhipped (kg)

j. Fishing gear used⁶

Verification (if applicable)

k. Name of observer

l. Authority

(1) ~~the total quantities, by weight, of *Trachurus murphyi* and demersal species that were transhipped, with those quantities broken down by:~~

- ~~a. unloaded and received;~~
- ~~b. transhipped in port or at sea;~~
- ~~c. transhipped inside the Convention Area and transshipped outside the Convention Area;~~
- ~~d. species;~~
- ~~e. product form; and~~
- ~~f. fishing gear used⁷~~

(2) ~~the number of transshipments involving *Trachurus murphyi* and demersal species, broken down by:~~

- ~~a. offloaded and received;~~
- ~~b. transhipped in port or at sea;~~
- ~~c. transhipped inside the Convention Area and transhipped outside the Convention Area; and~~
- ~~d. fishing gear⁸.~~

⁶ International Standard Classification of Fishing Gears (ISSCFG). This information only needs to be provided by the unloading vessel.

⁷~~International Standard Classification of Fishing Gears (ISSCFG). This information only needs to be provided by the unloading vessel.~~

⁸International Standard Classification of Fishing Gears (ISSCFG). This information only needs to be provided by the unloading vessel.