

Report of the VMS Working Group

CTC4 - WP07_rev1 (16 Jan 2017, 4:42pm)

VMSWG Chair Kerrie Robertson

Delegations welcomed the opportunity to convene a working group during the 4th Meeting of the Compliance and Technical Committee to provide advice and recommendations on a range of issues relevant to the implementation of a SPRFMO VMS.

Recommendations to the CTC

The informal VMS working group recommends that the CTC:

1. **Note** that Australia, Chile, China, the European Union, the Faroe Islands, Korea, New Zealand, Peru, Russia, Chinese Taipei, Vanuatu and the United States of America participated in the informal working group's discussions;
2. **Note** the VMS tender process undertaken in 2016 in an effort to select a provider for the SPRFMO VMS;
3. **Note** that the following Members and CNCPs assessed the tenders submitted to the Secretariat consistent with the evaluation criteria outlined in the Call for Proposals (Annex L of the Report of the 4th Meeting of the SPRFMO Commission refers): Australia, Chile, the European Union, Korea, New Zealand, Peru, Chinese Taipei and the USA.
4. **Note** that no conflicts of interest were declared through this process.
5. **Acknowledge with appreciation** the Secretariat's efforts in coordinating this process and, in particular, for its assistance in analysing the technical and fiscal merits of the three shortlisted proposals;
6. **Note** that the working group considered the draft technical and fiscal analysis of the three shortlisted VMS providers circulated by the Secretariat on 2 September 2016 to inform its advice to the CTC.
7. **Express appreciation** for the generous contribution of the European Union of € 100 000 towards the implementation of the VMS system.
8. **Note** the importance of remaining closely engaged in the implementation of the VMS and **request** that the Secretariat regularly report on progress to the CTC Chairperson.
9. **Agree** to engage CLS as the SPRFMO VMS provider for a period of five years, subject to satisfactory performance, **authorise** the SPRFMO Secretariat to enter into a contract with CLS consistent with the terms included at Annex A of this report, and request that the Secretariat seeks legal advice prior to entering into the contract.
10. **Agree** to engage a consultant or contractor for 6-12 months to assist the Secretariat in the implementation and integration of the SPRFMO VMS, with a review to be undertaken at the 2018 annual meeting to consider any further resourcing implications on the Secretariat at that time.
11. **Agree** that the SPRFMO VMS should be hosted externally, by CLS.
12. **Agree** that all vessels flying the flag of a Member or CNCP be required to report VMS data automatically either to the Secretariat via the flag State FMC or simultaneously to both the Secretariat and the flag State's FMC.
13. **Agree** that members and CNCPs should disclose their reporting method and nominated VMS point of contact within 60 days of the close of this annual meeting.
14. **Agree** that the informal working group continue progressing the organisation of work outlined in CTC4-INF 01 during the 5th meeting of the SPRFMO Commission.
15. **Support** the continuation of the VMS working group

Recommendations to the FAC

The informal VMS working group recommends that the FAC:

1. **Note** that, if CLS is chosen, the following elements be reflected in the SPRFMO annual budget in the forward years:
 - Initial set up costs of \$175 000 NZD, based on the quote provided by CLS.
2. Annual operating costs of \$59 000 NZD, based on the quote provided by CLS. Note that the EU's contribution, will need to be reflected in the budget presented to the Commission.
3. **Recommend** that the FAC/Commission decide on the charging arrangements for airtime hosting fees where a vessel polls directly to the Secretariat.
4. The costs of engaging consultants or contractors should be reflected in the 2017/18 budget.
5. That the FAC include in the budget a contingency, representing 10 per cent of the contract value, for unanticipated service charges.

Annex A

The contract negotiated with CLS must include the following:

1. Detailed incident response times based on the severity of the incident, including immediate response if the system goes down (e.g. when there is a system-wide failure, where the Secretariat is unable to access VMS data or other incidents that affect a large number of users).
2. 99.9% fault tolerance
3. Any additional charges to be set out clearly in the contract
4. A clear statement that VMS data belongs to the Secretariat, which must be formatted in the way prescribed by the Commission.
5. CLS must take into account all relevant SPRFMO CMMs, including any data access/security and confidentiality measures adopted by the Commission.
6. A provision which clearly requires CLS to ensure smooth integration to any new VMS provider that may be chosen at the conclusion of CLS' contract term.
7. Include a termination clause.
8. A regular performance audit to ensure CLS is meeting the objectives of the contract.